



**BUREAU  
VERITAS**

**Bureau Veritas Certification  
North America, Inc.  
SFI Fiber Sourcing Audit Report**

390 Benmar Drive, Suite 100  
Houston, TX 77060  
Phone (281) 986-1300: Toll Free (800) 937-9311

Company Name	Anthony Forest Products
Contact Person	Steve Barham
Address	309 North Washington, El Dorado, AR 71730
Phone / Fax	Phone: (870) 862-3414
PQC Code	E01E
Contract Number	US2162740

Certification Audit:		Re-Certification Audit:	X	Surveillance Audit:	#	Scope extension audit:	
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**Audit Summary**

**Introduction**

This report summarizes the results of the renewal audit conducted on Anthony Forest Product’s SFI program for fiber sourcing. Richard Boitnott, Bureau Veritas Certification Lead Auditor conducted the 4/4/2016 through 4/6/2016. Mr. Boitnott is a certified forester, an EMS lead auditor, and has wildlife management expertise.

**Audit Scope, Objectives and Process**

The scope of the audit on the CEP is “wood procurement”. It should be “Fiber sourcing under purchased stumpage and gatewood programs “.The audit was conducted against the SFI 2015-2019 fiber sourcing standard. All indicators in PM 1 through 10 were reviewed during the audit. There was no substitution or modification of indicators. Specifically, two objectives of the SFI audit were to verify that the Program Participant’s SFI Program is in conformance with the SFI Objectives, Performance Measures, and Indicators, and any additional indicators that the Program Participant chooses, and verify whether the Program Participant has effectively implemented its SFI Standard program requirements on the ground. Standard Bureau Veritas Certification protocols and forms were applied throughout the audit as provided by the most recent version of the Bureau Veritas Certification SFI Auditor Handbook available on the auditor access website.

**Audit Plan**

The audit consisted of a review of program documentation at the El Dorado Arkansas office the morning of April 4, followed by field reviews of purchased stumpage during the afternoon. Field visits to purchased stumpage and gatewood tracts were performed on April 5. A follow-up review of system documentation occurred the morning of April 6. A closing meeting was held at 11:30 on the 6<sup>th</sup>. An audit plan was developed and is maintained on file by Bureau Veritas Certification.

**Company Information**

Anthony Forest Products operates a saw mill in Urbana Arkansas. The company is headquartered in El Dorado Arkansas. The company procures wood from Louisiana and Arkansas. Much of its wood supply comes from an SFI certified landbase the company previously owned. However, it also procures wood through other purchased stumpage and gatewood.

## **Multi-Site Requirements**

N/A-Anthony operates as a single site

### **Audit Results**

The document review was conducted to determine if Anthony Forest Products meets the intent of the SFI 2015-2019 standard fiber sourcing edition. A field audit was conducted to determine if the company is implementing its SFI program in the way it conducts its on-the-ground management activities, and consisted of a review of six purchased stumpage and four gatewood tracts.

#### **Objective 1-Biodiversity in Fiber Sourcing:**

Anthony has joined The Nature Conservancy to promote the conservation of biological diversity. In addition, the company distributes landowner information that contains information on the conservation of biological diversity.

#### **Objective 2-Adherence to Best Management Practices:**

The company has a program to ensure compliance with BMPs on purchased stumpage tracts. All purchased stumpage tracts observed during the audit were in compliance with Louisiana and Arkansas BMPs. The logging contract has a requirement to meet BMPs. Anthony's program to address adverse weather conditions consists of wood inventory management, identification of wet weather logging tracts within its standing inventory list, and definitions of acceptable logging conditions. The company has also purchased mats for use in wet weather conditions. The company has defined its fiber sourcing policies and communicated it to wood suppliers

#### **Objective 3-Use of Qualified Resource and Qualified Logging Professionals:**

The landowner information material contains information promoting the use of qualified loggers. Anthony maintains a list of its suppliers, along with copies of the certificates for every one of the supplier's producers

#### **Objective 4-Legal and Regulatory Compliance:**

Anthony has access to applicable legal and regulatory requirements. The company has pre-harvest planning and harvest inspection processes to ensure regulatory compliance. No adverse regulatory action information is in evidence. No BMP audits have been performed on any of AFP's logging jobs in the past couple of years. Timber purchase order requires the suppliers to ensure they have proper title to the timber they are purchasing. The company also monitors suspect suppliers and refuses to do business with them. The company has written policies covering social laws. No interested parties have communicated with the company relative to ILO core conventions.

#### **Objective 5-Forestry Research, Science and Technology:**

Anthony contributes to the Texas A&M Forest Pest Management Cooperative. Participation in the Arkansas and Louisiana SICs includes the development of biodiversity conservation information for family forest landowners. The company also has access to BMP monitoring done by Arkansas and Louisiana. Anthony has access to information on the potential impacts of climate change on forest health and productivity, and wildlife and wildlife habitat.

#### **Objective 6-Training and Education:**

A written statement of commitment has been developed and signed by all foresters and officers of the company. SFI roles and responsibilities are defined in company job descriptions. Training records provide evidence appropriate training is occurring. All foresters and logging contractors are required to be state logger trained. The company's contract for log and pulpwood delivery contains a requirement that all logger complete SIC sponsored logger training. Anthony contributes to and participates in the Louisiana and Arkansas SICs. Participation includes the development and delivery

of logger training.

**Objective 7-Community Involvement and Landowner Outreach:**

Anthony contributes to and participates in the Louisiana and Arkansas SICs. Their contribution includes the development of landowner information. Observed evidence of Anthony's participation of a number of outreach efforts, including providing landowner assistance and participation in Log-A-Load and school activities. One Anthony forester is a member of the board of the education foundation, which provides for landowner education efforts. The company's participation in the SICs of Louisiana and Arkansas includes the implementation of an inconsistent practices program. Anthony has received no complaints or reports of inconsistent practices.

**Objective 8-Public Land Management Responsibilities:**

N/A-Anthony does not have public land management responsibilities.

**Objective 9-Communications and Public Reporting:**

The 2015 SFI surveillance audit report was sent to SFI, Inc. for posting on its website. The company has not sent in the annual progress report, resulting in the issuance of a non-conformance.

**Objective 10-Management Review:**

Anthony has a management review process in place. Quarterly management review meetings are conducted.

**Objective 11-Promote Conservation of Biological Diversity, Biodiversity Hotspots and High-Conservation Wilderness Areas:**

N/A

**Objective 12-Avoidance of Controversial Sources including Illegal Logging:**

N/A

**Objective 13-Avoidance of Controversial Sources including Fiber Sourced from Areas without Effective Social Laws:**

N/A

## **Findings**

**Previous non-conformances:**

No non-conformances were issued during the previous audit.

**Non-conformances:**

Two minor non-conformances were issued during this audit. One was due to a failure to provide the SFI annual progress report in a timely manner, and the other was due to the use of the SFI logo on the company's website without obtaining approval from SFI, Inc. The SF02 nonconformity reports are shown below.

**Opportunities for Improvement:**

No opportunities for improvement were issued

**Notable Practices:**

No notable practices were issued.

**Logo/label use:**

The company uses the SFI certified sourcing label on board and on laminated beams. It is using the

SFI logo on its website without obtaining permission from SFI, Inc. The company does not use the BVC logo.

**SFI reporting:**

Anthony provided the 2015 SFI surveillance audit report to SFI, Inc. for public posting.

**Conclusions**

Results of the audit indicate Anthony Forest Products, Inc. has developed and implemented an effective SFI program that meets the requirements of the SFI 2015-2019 fiber sourcing standard with the exception of two minor non-conformances. Corrective actions are due to dawn.komnick@us.bureauveritas.com within 30 days of the closing meeting.

**SEE SF61 FOR AUDIT NOTES**

Summary of Audit Findings:									
Audit Date(s):		From: 4/4/2016				To: 4/6/2016			
Number of SF02's Raised:			Major:			Minor:		2	
Is a follow up visit required:		Yes	No	X	Date(s) of follow up visit:				
Follow-up visit remarks:									
Team Leader Recommendation:									
Corrective Action Plan(s) Accepted		Yes	No	X	N/A	Date:			
Proceed to/Continue Certification		Yes	No	X	N/A	Date:			
All NCR's Closed		Yes	No	X	N/A	Date:			
Standard audit conducted against:									
1)	SFIS 2015-2019 FS Edition		3)						
2)			4)						
Team Leader (1):		Team Members (2,3,4...)							
Richard Boitnott; CF, EMS (LA)		2)							
		3)							
		4)							
		5)							
Scope of Supply: (scope statement must be verified and appear in the space below)									
Fiber sourcing under purchased stumpage and gatewood programs									
Accreditation's		ANAB							
Number of Certificates		1							
Proposed Date for Next Audit Event									
Date		Week of April 3, 2017							
Audit Report Distribution									
AFP : Steve Barham-sbarham@anthonyforest.com									
BVC: Dawn Komnick-dawn.komnick@us.bureauveritas.com									

Clause	Audit Report
Opening Meeting	Participants: Mark Beasley, Buddy Rosser, Scotty Booth, Steve Barham Discussions: <ul style="list-style-type: none"> <li>➤ Introductions</li> <li>➤ Scope of the audit</li> <li>➤ Audit schedule/plan</li> <li>➤ Nonconformance types – Major / Minor</li> <li>➤ Review of previous nonconformances - 0.</li> <li>➤ Process approach to auditing and audit sampling</li> <li>➤ Confidentiality agreement</li> <li>➤ Termination of the audit</li> <li>➤ Appeals process</li> <li>➤ Closing meeting timing</li> </ul>
Closing Meeting	Participants: Mark Beasley, Scotty Booth, Steve Barham Discussions: <ul style="list-style-type: none"> <li>➤ Introductions and appreciation for selecting Bureau Veritas Certification.</li> <li>➤ Review of audit process - process approach and sampling.</li> <li>➤ Review of OFIs and System Strengths</li> <li>➤ Nonconformances - 2</li> <li>➤ Date for next audit.</li> <li>➤ Reporting protocol and timing</li> </ul>



## SF02/NA NONCONFORMITY REPORT

<b>Company Name and Site:</b>		<b>SF02#:</b>	
Anthony Forest Products		SF02-01	
Contract #:	Type of audit (e.g., initial, surveillance):	Team Leader:	
	Renewal	Richard Boitnott	
Date:	Standard and Clause #:	Team Member:	
4/6/2016	SFIS FS 2015-2019 PM 9.2, Ind. 1		
Major	Minor	Other Documents (if applicable):	
	X		
<b>REQUIREMENT OF AUDITED STANDARD:</b>			
PM 9.2, Ind. 1 requires the organization to promptly respond to the SFI annual progress report			
<b>OBSERVED NONCONFORMITY:</b>			
There is no evidence the company submitted its 2015 SFI annual progress report			
<b>ROOT CAUSE ANALYSIS AND CORRECTIVE ACTION PLAN</b> (To be completed by the Company. Plan to be submitted in 30 days)			
Corrective Action Plan Date:		Company Representative:	
Root Cause Analysis and Corrective Action			
Root Cause:			
Corrective Action Plan:			
<b>ROOT CAUSE AND CORRECTIVE ACTION PLAN ACCEPTANCE REPORT</b> (To be completed by Bureau Veritas Certification – Verify effective identification of Root Cause and acceptance of Corrective Action Plan)			
Root Cause:			
Corrective Action Plan:			
Plan Accepted:	Yes	No	Comments:
Auditor:		Date:	
<b>CORRECTIVE ACTION IMPLEMENTATION</b>			
To be completed by Company – Provide objective evidence. Not to exceed: 90 Days <input type="checkbox"/> 1 Year <input type="checkbox"/>			
Corrective Action Completion Date:		Company Representative:	
Corrective Action Implementation:			
Method used to verify effectiveness of action taken:			
<b>CORRECTIVE ACTION IMPLEMENTATION ACCEPTANCE REPORT</b> (To be completed by Bureau Veritas Certification – Acceptance of Corrective Action taken)			
Accepted:	Yes	No	Nonconformance Closed:
			Yes <input type="checkbox"/> No <input type="checkbox"/>
Follow Up Comments:			
Auditor:		Date:	



## SF02/NA NONCONFORMITY REPORT

Company Name and Site:		<u>SF02#:</u>	
Anthony Forest Products		SF02-01	
Contract #:	Type of audit (e.g., initial, surveillance):	Team Leader:	
	Renewal	Richard Boitnott	
Date:		Team Member:	
4/6/2016	SFIS FS 2015-2019 Section 5 Part 3		
Major	Minor	Other Documents (if applicable):	Company Representative:
	X		Steve Barham
<b>REQUIREMENT OF AUDITED STANDARD:</b>			
Section 5 Part 3 of the SFI 2015-2019 Standard requires the organization to use the SFI off-product logo according to Section 5, Part 3 of the SFI 2015-2019 standard and to gain approval for its use.			
<b>OBSERVED NONCONFORMITY:</b>			
Anthony is using the SFI logo on its website without evidence permission was obtained.			
<b>ROOT CAUSE ANALYSIS AND CORRECTIVE ACTION PLAN</b> (To be completed by the Company. Plan to be submitted in 30 days)			
Corrective Action Plan Date:		Company Representative:	
Root Cause Analysis and Corrective Action			
Root Cause:			
Corrective Action Plan:			
<b>ROOT CAUSE AND CORRECTIVE ACTION PLAN ACCEPTANCE REPORT</b> (To be completed by Bureau Veritas Certification – Verify effective identification of Root Cause and acceptance of Corrective Action Plan)			
Root Cause:			
Corrective Action Plan:			
Plan Accepted:	Yes	No	Comments:
Auditor:		Date:	
<b>CORRECTIVE ACTION IMPLEMENTATION</b>			
To be completed by Company – Provide objective evidence. Not to exceed: 90 Days <input type="checkbox"/> 1 Year <input type="checkbox"/>			
Corrective Action Completion Date:		Company Representative:	
Corrective Action Implementation:			
Method used to verify effectiveness of action taken:			
<b>CORRECTIVE ACTION IMPLEMENTATION ACCEPTANCE REPORT</b> (To be completed by Bureau Veritas Certification – Acceptance of Corrective Action taken)			
Accepted:	Yes	No	Nonconformance Closed: Yes No
Follow Up Comments:			
Auditor:		Date:	