

SFI 2015-2019 – Public Summary Report

DRYDEN FOREST MANAGEMENT COMPANY LTD.

DESCRIPTION OF COMPANY

Dryden Forest Management Co. Ltd. (DFMC) is a co-operative made up of 15 small harvesting companies who were former District Cutting Licensee/Order in Council Licensees on the Dryden Forest.

DFMC was awarded a Sustainable Forest Licence #542444 on June 24, 1998 and became responsible for the general administration of the Dryden Forest, including responsibilities for planning, reporting and implementing all forest operations.

The Dryden Forest lies within the Boreal Forest Region however a small portion in the south is a transition zone between the Boreal Forest and the Great Lakes-St. Lawrence Forest Regions. Coniferous trees, mostly spruce and jack pine are characteristic of the Dryden Forest, in association with trembling aspen and white birch. Pockets of red pine and white pine occur, and to a limited extent eastern white cedar, and tamarack. DFMC does not own or operate a manufacturing facility. Harvesting is done by the 15 shareholders of DFMC and three Aboriginal communities/businesses and the wood fibre is sold to local/regional mills. The company SFI representative is Dave Legg, General Manager, DFMC.

AUDIT

During the period of September 6 to 8, 2016 DFMC underwent a 12 month surveillance audit to the Sustainable Forestry Initiative® (SFI®) 2015-2019 Forest Management (FM) Standard. The registration cycle is over three years with surveillance audits conducted annually. The audit assessed active and/or completed harvest and silviculture operations through interviews with the company staff and contractors and through field observations. The audit also assessed the SFI documentation and records at the company's office including stakeholder and First Nations communication.

The SFI program third-party audit was undertaken by SAI Global. SAI Global is an independent third party registrar that is accredited by the ANSI-ASQ National Accreditation Board (ANAB) Standard ensuring the integrity and credibility of the audit process.

THE AUDIT TEAM

Rod Seabrook, and auditor with SAI Global, performed the audit. Mr. Seabrook is a Certified Environmental Professional and Environmental Management System Lead Auditor and an Associate Member of the Ontario Professional Foresters Association.

REGISTRATION TYPE

Certificate Type	SFI 2015-2019 Forest Management Standard	SFI 2015-2019 Fiber Sourcing Standard
Single site	X	N/A
Multi-site	N/A	N/A
Group	N/A	N/A

MULTI-SITE / GROUP EVALUATION

Not applicable.

SFI 2015-2019 Forest Management Standard

FOREST LAND AND MANGEMENT PLAN INCLUDED IN THE AUDIT SCOPE

- Dryden Forest
- 2011 – 2021 Management Plan for the Dryden Forest

SCOPE OF CERTIFICATION

Forest management activities - planning, harvesting, transportation and silviculture on the Dryden Forest Sustainable Forest License.

AUDIT PROCESS

During this audit, the audit team evaluated the company against the objectives listed in Appendix 3, Table 1. The evidence of compliance observed is listed in Appendix 3, Table 2.

A sample of field review sites were chosen from a list of active sites and sites where forest management activities occurred since the last audit. The site choice also considered activity type, and risk factors, related to the audit objectives selected for review, as well as the opportunity to verify implementation of corrective actions for previous non-conformities.

SUBSTITUTE INDICATORS

No substitute indicators were evaluated during this audit.

COMPLAINTS

No complaints have been received; therefore the complaint handling process could not be verified.

SITES INSPECTED AND ASSESSMENT TIME

Audit Activity	Duration in days
Off-site preparation and on-site office review:	2.25 audit days
Field review:	1 audit day

Sites	Number inspected
Roads	1
Harvesting Blocks	2
Silviculture Sites	2
Operations visited: Hollyn Timber; Fenwick Chipping; Ken Snider Logging	

SUMMARY OF FINDINGS

Definitions for findings can be found in Appendix 1.

Areas of non-conformance

Not applicable.

OR

NC#	Type	Performance Measure #	Description	Corrective Action Plans
2016-01	Minor	3.1	Evidence of completion of the environmental inspections and fuel tank inspections for 2015 was not found	2016 Inspections carried out and documented. During the Management Review, inspections carried out are to be documented under Monitoring Activities to allow Board of Directors to ensure activities are being conducted.
2016-02	Minor	9.1	A 615 litre fuel tank in BK 11.437 did not display a current certification; a spill kit was not present with the fuel tank or in the associated pick-up truck; placarding was not compliant with requirements	Sub-contractor was informed to not use 'out of compliance' fuel tank when working on the Dryden Forest. DMFC will develop procedures on responsibilities and requirements of sub-contractors by November 30, 2016. Training of new procedures will take place in the Annual Refresher Training Session by May 31, 2017.

Opportunities for improvement

- Consider linking the Environmental Policy commitments to SFI principles
- Consider reinforcing with workers the purpose and use of stop leak putty found in some spill kits
- Consider requiring logging trucks to carry a small spill kit containing stop leak putty
- Consider promoting the use of drip containment as part of fuel management

Exceptional practices

- Awareness of SFI and the concept of sustainability among the workforce
- Improvements in management of chipper debris
- Ditching on road construction associated with BK 11.437
- Very clean operations
- Wildlife tree retention

Status of previous audit non-compliances

The status of previous audit non-conformances is as follows:

NC#	Type	Performance Measure #	Status (closed/upgraded to major)
2015-01	Minor	7.1	Closed
2015-02	Minor	14.1	Closed
2015-03	Minor	14.1	Closed

CERTIFICATION DECISION SFI 2015-2019 FOREST MANAGEMENT STANDARD

Surveillance Audit

Based on the results of this surveillance audit it has been determined that the management system is effectively implemented and meets the requirements relative to the scope of registration and audit criteria. The action plans related to the non-conformances were reviewed and considered acceptable. Implementation of action plans for the minor non-conformances will be reviewed at the next surveillance audit. Therefore, a recommendation to maintain the registration is made to SAI Global.

Appendices

Appendix 1: DEFINITIONS

Major Non-Conformances

Pervasive or critical to the achievement of the SFI objectives

All major non-conformances require an action plan to be implemented by the auditee within 90 days of an initial audit or registration cannot be achieved. Subsequently for surveillance audits, an action plan shall be implemented by the auditee within 60 days for the registration to be maintained.

Minor Non-Conformances

Isolated incidents that are non-critical but must be addressed before the next surveillance audit to maintain compliance to the SFI standard. Non resolved minor non-conformance will be escalated to a major non-conformance.

Opportunity for Improvement

Are not non-conformances but are comments on specific areas where improvements can be made.

Exceptional practices

Areas that are deemed to be of outstanding practices.

Appendix 2: MULTI-SITE / GROUP SAMPLING APPROACH

Not applicable

Appendix 3: EVIDENCE OF CONFORMITY TO THE SFI 2015-2019 FOREST MANAGEMENT STANDARD

Table 1 Objectives Audited

Objective 1	Forest Management Planning	X
Objective 2	Forest Health and Productivity	X
Objective 3	Protection and Maintenance of Water Resources	X
Objective 4	Conservation of Biological Diversity	
Objective 5	Management of Visual and Recreational Benefits	
Objective 6	Protection of Special Sites	X
Objective 7	Efficient Use of Forest Resources	X
Objective 8	Recognize and Respect Indigenous Peoples' Rights	X
Objective 9	Legal and Regulatory Compliance	X
Objective 10	Forestry Research, Science and Technology	
Objective 11	Training and Education	
Objective 12	Community Involvement and Landowner Outreach	
Objective 13	Public Land Management Responsibilities	X
Objective 14	Communications and Public Reporting	X
Objective 15	Management Review and Continual Improvement	X

Table 2 Evidence Observed

Environmental management system (EMS) Manual	X	Cutblock size calculations	X
Written policies	X	Pre-work discussions/meeting records	X
Standard Operating Procedures (SOPs) for best management practices	X	Harvest inspection records	X
Maps (pre-harvest, harvest, post-harvest, and silviculture)	X	Post-harvest inspection records	X
Non-timber values impact assessments (riparian, visual, recreation, biodiversity, cultural heritage, other:)	X	Monitoring records for non-timber values	X
GIS inventories (soils, forest, land classification, non-timber values)	X	Utilization records	X
Long term management plans and sustainable harvest level calculation	X	Laws, legislation, regulations records	X
Operational plans (annual, cutblock level)	X	Annual management review records	
Reforestation plans	X	Internal audit records	X
Fire preparedness plan	X	Corrective action logs	X
Spill prevention and response plan	X	Stakeholder communications records	X
Silviculture surveys and reports	X	Agreements and communications with Indigenous communities	X
Standards for seed use	X	Logging agreements and/or contracts	X
Chemical use/spray records and applicator certification	X	SFI Annual Report	X
Free to grow records	X	SFI and company websites	X
Planting program records	X	SFI Implementation committee (participation/support)	X

Wildlife tree retention in Block 11.432



SAI Global forestry specialist group registers/certifies forest companies to ISO 14001, OHSAS 18001, CSA Z809 and CSA Z804 SFM, SFI Forest Management, SFI CoC, SFI Fiber Sourcing, SFI Certified Sourcing Label, PEFC CoC, FSC® Forest Management, FSC® CoC.

The group, led by Sylvain Frappier, Technical Manager - Forestry, consists of a highly qualified team of professional foresters, technical and industry experts.

Contact certification.americas@saiglobal.com for more information.

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