

SFI 2015-2019 – Public Summary Report

WEYERHAEUSER COMPANY AND WEYERHAEUSER NR COMPANY

SOUTHERN TIMBERLANDS AND WOOD SUPPLY

DESCRIPTION OF COMPANY

Weyerhaeuser Southern Timberlands and Wood Supply operations are located in the southeast United States and have a head office in Hot Springs, Arkansas. The company’s Southern Timberlands Sustainable Forest Management program has been registered to the SFI Standard since February 2, 2006 and has undergone annual 3rd party surveillance audits by QMI, QMI-SAI Global and SAI-Global since the date of initial registration.

The company SFI representative is Bryan Hulka.

AUDIT

During the period of September 19 - 23, and 26 - 30, 2016, Weyerhaeuser Southern Timberlands and Wood Supply underwent a Re-registration audit to the Sustainable Forestry Initiative® (SFI®) 2015-2019 Forest Management (FM) Standard and the SFI 2015-2019 Fiber Sourcing (FS) Standard.

The registration cycle is over three years with surveillance audits conducted annually. The audit assessed active and completed harvest operations through interviews with the company staff and contractors. The audit also assessed the SFI documentation and records at the company’s office.

The SFI program third-party audit was undertaken by SAI Global. SAI Global is an independent third party registrar that is accredited by the ANSI-ASQ National Accreditation Board (ANAB) Standard ensuring the integrity and credibility of the audit process.

THE AUDIT TEAM

The SAI Global audit team who performed the audit consisted of Ms. Lynn Penniman CEP, EMS (LA).

REGISTRATION TYPE

Certificate Type	SFI 2015-2019 Forest Management Standard	SFI 2015-2019 Fiber Sourcing Standard
Multi-site	X	X

MULTI-SITE EVALUATION

The following participating sites are included in the multi-site / group evaluation:

*FM = SFI 2105-2019 Forest management standard, FS = SFI 2015-2019 Fiber sourcing standard

SAI File #	Standard Audited*	Site name	I/RR	S1	S2
1046462	FM and FS	Hot Springs, AR	X		
0012307	FM and FS	North Carolina	X		
0012934		Mississippi Alabama			
0013889		Mississippi Louisiana			
0013146	FM and FS	Arkansas Oklahoma	X		
0026313		North Louisiana			

SFI 2015-2019 Forest Management Standard

SCOPE OF CERTIFICATION

Forest management activities - planning, harvesting, silviculture on company lands and lands with management authority in the Southeast United States. The SFI 2015-2019 Forest Management Standard meets and exceeds the requirements of the earlier SFI 2010-2014 SFI Standard (Section 2), therefore fiber sold under this certificate counts as 100% SFI and 100% PEFC certified forest content.

AUDIT PROCESS

During this audit, the audit team evaluated the company against the objectives listed in Appendix 3, Table 1. The evidence of compliance observed is listed in Appendix 3, Table 2.

The audit assessed active and completed planning, harvesting and silviculture operations through interviews with Weyerhaeuser staff and contractors at North Carolina and Arkansas Oklahoma operations. The audit also assessed the SFI and supporting EMS documentation, and records at the Weyerhaeuser Vanceboro, NC; Broken Bow, OK; and De Queen, AR offices.

A sample of field review sites were chosen from a list of active sites and sites where forest management activities occurred since the last audit. The site choices also considered activity type, and risk factors, related to the audit objectives selected for review.

The multi-site sampling approach used for this audit is outlined in Appendix 2.

SUBSTITUTE INDICATORS

No substitute indicators were evaluated during this audit.

COMPLAINTS

No complaints have been received; therefore, the complaint handling process could not be verified.

SUMMARY OF FINDINGS

Definitions for findings can be found in Appendix 1.

Areas of non-conformance

Not applicable.

Opportunities for improvement

Not applicable.

Exceptional practices

- Good organization of documentation and records to support conformance to the requirements of the standards.
- Management reviews of SFI program at different organizational levels.
- Use of iPads in contractor harvesting equipment.
- Variety of map types generated and used by Weyerhaeuser and contractor personnel.
- Good use of NC Forest Service Forest Practices Guidelines quarterly compliance inspection reports.
- Good example of proactive communication with homeowners prior to harvesting operations.

Status of previous audit non-compliances

No non-conformities were raised during the previous audit.

CERTIFICATION DECISION SFI 2015-2019 FOREST MANAGEMENT STANDARD

Re-registration Audit

Based on the results of this re-registration audit it has been determined that the management system is effectively implemented and meets the requirements relative to the scope of registration and audit criteria, therefore, a recommendation for continued registration is made to SAI Global.

SFI 2015-2019 Fiber Sourcing Standard

SCOPE OF CERTIFICATION

Sourcing of fiber from the Southeast United States Region. The Appendix 1: Rules for Use of SFI Certified Sourcing Label is not covered by the scope of this certificate.

AUDIT PROCESS

During this audit, the audit team evaluated the company against the objectives listed in Appendix 4, Table 1. The evidence of compliance observed is listed in Appendix 4, Table 2.

The audit assessed active and completed harvest operations through interviews with Weyerhaeuser staff and contractors at North Carolina and Arkansas Oklahoma operations. The audit also assessed the SFI and supporting EMS documentation, and records at the Weyerhaeuser Vanceboro, NC; Broken Bow, OK; and De Queen, AR offices. The audit assessed harvest operations of purchased stumpage sales and non-fee units where Weyerhaeuser purchased some or all of the timber to assess the Company's procurement system.

A sample of field review sites were chosen from a list of active sites and sites where forest management activities occurred since the last audit. The site choice also considered activity type, and risk factors, related to the audit objectives selected for review.

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- Good use of NC Forest Service Forest Practices Guidelines quarterly compliance inspection reports.
- Good example of proactive communication with homeowners prior to harvesting operations.

Status of previous audit non-compliances

No non-conformities were raised during the previous audit.

CERTIFICATION DECISION SFI 2015-2019 FIBER SOURCING STANDARD

Re-registration Audit

Based on the results of this re-registration audit it has been determined that the management system is effectively implemented and meets the requirements relative to the scope of registration and audit criteria, therefore, a recommendation for continued registration is made to SAI Global.

Appendices

Appendix 1: DEFINITIONS

Major Non-Conformances

Pervasive or critical to the achievement of the SFI objectives

All major non-conformances require an action plan to be implemented by the auditee within 90 days of an initial audit or registration cannot be achieved. Subsequently for surveillance audits, an action plan shall be implemented by the auditee within 60 days for the registration to be maintained.

Minor Non-Conformances

Isolated incidents that are non-critical but must be addressed before the next surveillance audit to maintain compliance to the SFI standard. Non resolved minor non-conformance will be escalated to a major non-conformance.

Opportunity for Improvement

Are not non-conformances but are comments on specific areas where improvements can be made.

Exceptional practices

Areas that are deemed to be of outstanding practices.

Appendix 2: MULTI-SITE / GROUP SAMPLING APPROACH

This multi-site / group organization maintains an internal audit program determined to be reliable, in this case SAI Global sampling approach is as follow where n = number of sites:

- i. $\sqrt{(n)}$ for initial certification audits
- ii. $0.6 \sqrt{(n)}$ for surveillance audits
- iii. $0.8 \sqrt{(n)}$ for re-registration audits

The central office function is audited annually.

Appendix 3: EVIDENCE OF CONFORMITY TO THE SFI 2015-2019 FOREST MANAGEMENT STANDARD

Table 1 Objectives Audited

Objective 1	Forest Management Planning	X
Objective 2	Forest Health and Productivity	X
Objective 3	Protection and Maintenance of Water Resources	X
Objective 4	Conservation of Biological Diversity	X
Objective 5	Management of Visual and Recreational Benefits	X
Objective 6	Protection of Special Sites	X
Objective 7	Efficient Use of Forest Resources	X
Objective 8	Recognize and Respect Indigenous Peoples' Rights	X
Objective 9	Legal and Regulatory Compliance	X
Objective 10	Forestry Research, Science and Technology	X
Objective 11	Training and Education	X
Objective 12	Community Involvement and Landowner Outreach	X
Objective 13	Public Land Management Responsibilities	
Objective 14	Communications and Public Reporting	X
Objective 15	Management Review and Continual Improvement	X

Table 2 Evidence Observed

Environmental management system (EMS) Manual	X	Cutblock size calculations	X
Written policies	X	Employee observation forms (species at risk, invasive plants, other values)	X
Standard Operating Procedures (SOPs) for best management practices	X	Pre-work discussions/meeting records	X

EMS and SFI field handbook	X	Monitoring records for non-timber values	X
Training records (staff and contractors)	X	Utilization records	X
SFI/EMS training agenda	X	Laws, legislation, regulations records	X
Health and safety manual and/or employee policy manual	X	Annual management review records	X
Timber supply review	X	Internal audit records	X
Maps (pre-harvest, harvest, post-harvest, and silviculture)	X	Corrective action logs	X
Timber development standards	X	Education/community involvement records	X
Non-timber values impact assessments (riparian, visual, recreation, biodiversity, cultural heritage, other:)	X	Records of public inquiries and complaints	X
GIS inventories (soils, forest, land classification, non-timber values)	X	Stakeholder communications records	
Long term management plans and sustainable harvest level calculation	X	Agreements and communications with Indigenous communities	
Cutting/harvesting permits		Multi-licensee and/or government meeting minutes	
Operational plans (annual, cutblock level)	X	Logging agreements and/or contracts	X
Wet/adverse weather plans or guidelines	X	Wood producer training programs	X
Reforestation plans	X	Wood producer information package	X
Pest management plan	X	SFI Annual Report	X
Fire preparedness plan	X	SFI and company websites	X
Spill prevention and response plan	X	SFI brochure	X
Silviculture surveys and reports	X	SFI Implementation committee (participation/support)	X
Standards for seed use	X	Climate change information and/or meeting minutes	X
Chemical use/spray records and applicator certification	X	Research and discussion papers	X
Tree improvement reports	X	Support for research and science	X
Green-up records	X	External audit field inspections	X
Free to grow records		External audit interviews (office and field)	X
Planting program records	X		
Harvest inspection records	X		

Road and bridge inspection records	X		
Pre-harvest inspection records	X		
Post-harvest inspection records	X		
Government compliance records	X		

Appendix 4: EVIDENCE OF CONFORMITY TO SFI 2015-2019 FIBER SOURCING STANDARD

Table 1 Objectives Audited

Fiber Sourcing Within the United States and Canada		
Objective 1	Biodiversity in Fiber Sourcing	X
Objective 2	Adherence to Best Management Practices	X
Objective 3	Use of Qualified Resource and Qualified Logging Professionals	X
Objective 4	Legal and Regulatory Compliance	X
Objective 5	Forestry Research, Science, and Technology	X
Objective 6	Training and Education	X
Objective 7	Community Involvement and Landowner Outreach	X
Objective 8	Public Land Management Responsibilities	
Objective 9	Communications and Public Reporting	X
Objective 10	Management Review and Continual Improvement	X

Fiber Sourcing Outside the United States and Canada		
Objective 11	Promote Conservation of Biological Diversity, Biodiversity Hotspots and High-Biodiversity Wilderness Areas	
Objective 12	Avoidance of Controversial Sources including Illegal Logging	
Objective 13	Avoidance of Controversial Sources including Fiber Sourced from Areas without Effective Social Laws	

Table 2 Evidence Observed

Environmental management system (EMS) Manual	X	SFI Annual Report	X
Written policies	X	SFI and company websites	X
Standard Operating Procedures (SOPs) for best management practices	X	SFI brochure	X
EMS and SFI field handbook	X	SFI Implementation committee (participation/support)	X
Training records (staff and contractors)	X	External audit field inspections	X
SFI/EMS training agenda	X	External audit interviews (office and field)	X
Cutting/harvesting permits		Education/community involvement records	X
Operational plans (annual, cutblock level)	X	Records of public inquiries and complaints	X
Wet/adverse weather plans or guidelines	X	Stakeholder communications records	
Reforestation plans		Logging agreements and/or contracts	X
Best management practices monitoring records	X	Wood producer training programs	X
Evaluation of best management practices	X	Wood producer information package	X
Government compliance records	X	Policies provided to wood producers	X
Pre-work discussions/meeting records	X	Education and outreach to forest landowners	X
Monitoring records for non-timber values		List of qualified logging professional/certified logging professional	X
Utilization records	X	Agreements for purchased stumpage	X
Laws, legislation, regulations records	X	Program to assess and address risk of Illegal logging	X
Corrective action logs	X	Research and discussion papers	X
Support for research and science	X	Climate change information and/or meeting minutes	X



SAI Global forestry specialist group registers/certifies forest companies to ISO 14001, OHSAS 18001, CSA Z809 and CSA Z804 SFM, SFI Forest Management, SFI CoC, SFI Fiber Sourcing, SFI Certified Sourcing Label, PEFC CoC, FSC® Forest Management, FSC® CoC.

The group, led by Sylvain Frappier, Technical Manager - Forestry, consists of a highly qualified team of professional foresters, technical and industry experts.

Contact certification.americas@saiglobal.com for more information.

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