



2011 Progress Report Forms For

Industrial Forest Landowners with Manufacturing Facilities

Please complete Progress Report online at www.sfiprogram.org/sfidatabase/login
by March 15, 2012

Rachel Dierolf, Manager of Statistics and Labeling, SFI
900 17th Street, NW Suite 700 Washington, DC 20006
Phone: (613) 274-0124 Fax: (613) 792-1470 Email : rachel.dierolf@sfiprogram.org
Online submissions are preferred

Program Participant Certification Body (if 3rd party certified)

Contact (for more information)

Title of Contact Phone for Contact Fax for Contact

E-mail for Contact

2011 Progress Report for Industrial Forest Landowners with Manufacturing Facilities**I. General Information**

- Forestlandⁱ Information for Program Participant
list in acres; to convert from hectares multiply number of hectares by 2.471

TABLE 1.

Country	Total Acres Managed ¹	Acres Certified to the SFI Forest Management Standard ²
United States		
Canada-Crown License		
Canada-Private Land		

¹Include acreage in Canada and or the United States that is enrolled in the SFI program.

²Include only forest management certifications on the acres managed.

- Recreation
list in acres only; to convert from hectares to acres, multiply by 2.471
 - For lands owned or controlled by your organization, how many acres are open to the public for recreation (this includes private leases and public permits)? _____ (U.S. acres) _____ (Canadian acres)

II. Harvesting and Reforestation—Participant Land (list in acres only; to convert from hectares to acres, multiply by 2.471)

- How many acres of harvest unitsⁱⁱ were completed in 2011ⁱⁱⁱ by?

TABLE 2.

Harvest Method	U.S. Acres	Canadian Acres
1. Clearcutting ^{iv}		
1a. Average size of clearcut harvest areas		
2. Seed Tree and Shelterwood		
3. Selection Methods		
4. Commercial Thinning or Sanitation Salvage		

Note: SFI 2010-2014 Performance Measure 5.2 states: *Program Participants shall manage the size, shape, and placement of clearcut harvests.*

Indicators: 1. Average size of clearcut harvest areas does not exceed 120 acres (50 hectares), except when necessary to meet regulatory requirements or to respond to forest health emergencies or other natural catastrophes. 2. Documentation through internal records of clearcut size and the process for calculating average size.

TABLE 3.

Please provide explanation if the average size of your clearcut harvest areas exceeds 120 acres (50 hectares)

- Disease or insect outbreak? _____
- Fire salvage? _____
- Windthrow? _____
- Hurricane? _____
- Government regulations requiring larger harvest areas (please specify government policy name and requirement)? _____
- Other, please explain: _____

- 2011 Reforestation^v Activities and Five Year Assessment^v (Section 1 is for U.S., Section 2 is for Canada)

- Reforestation Data for the United States (list in acres only; to convert from hectares, multiply by 2.471)

TABLE 4.1

Regeneration Type	Within 1 year of Final Harvest (acres)	Within 2 years of Final Harvest (acres)	More than 2 years after Final Harvest (acres)	Total for 2011 (sum of all three-acres)	Percent of Harvest Units Regenerated After 5 Growing Seasons
1. Artificial					
a. Planting		+	+	=	
b. Direct Seeding		+	+	=	
2. Natural	Acres In 2011				
a. All types					
3. Artificial and Natural					
a. All types					%

- Reforestation Data for Canada (list in acres only; to convert from hectares, multiply by 2.471)

TABLE 4.2

Regeneration Type	Within 1 year of Final Harvest (acres)	Within 2 years of Final Harvest (acres)	More than 2 years after Final Harvest (acres)	Total for 2011 (sum of all three-acres)	Percent of Harvest Units Regenerated After 5 Growing Seasons
1. Artificial					
a. Planting		+	+	=	
b. Direct Seeding		+	+	=	
2. Natural	Acres In 2011				
a. All types					
3. Artificial and Natural					
a. All types					%

III. Research Funding – Internal & External^{vi} —(\$US and \$Canadian)

TABLE 5.

Category	Funding—United States		Funding—Canada	
	Internal (\$US)	External (\$US)	Internal (\$Canadian)	External (\$Canadian)
A. Forest Health & Productivity				
B. Water Quality				
C. Wildlife and Fish				
D. Landscape/Ecosystem Management and Biodiversity				
E. All Other				

IV. Raw Material Supply – Participant Land and Procurement from Other Sources

- Number of private forest landowners selling timber (stumpage or logs) directly to your organization in 2011 (include those private landowners with which your organization has a contractual relationship to purchase or harvest stumpage or logs):
 - United States _____ Canada _____
 - Number of those private landowners who received information directly from your employees on the advantages of reforestation and Best Management Practices (BMPs): United States _____ Canada _____
- To assist in implementing Objective 8 of the SFI Standard Principles and Objectives, has your organization clearly defined in writing, and distributed to loggers, chip suppliers, dealers and other raw material suppliers, our organization specific procurement policy, program or plan? Yes No
- Funding provided in 2011 for SFI program implementation activities at the state or provincial level (include all funding your organization provided in 2011 to SFI Implementation Committees and others for logger training and education and all other SFI program implementation activities at the state or provincial level):
 - Support for U.S. SFI Implementation Committees (USD) _____
 - Support for Canadian SFI Implementation Committees (CAD) _____
- Total volume of raw material^{vi} (roundwood and whole log chips) your manufacturing facilities used (including log and chip exports) that was sourced directly from the forest. Volumes do not have to be absolutely precise; they should be rounded to the nearest unit.

ANNEX I. Volume Multipliers for Converting to Thousand Cubic Feet (MCF) provides the multipliers that are used by SFI Inc. staff to convert various volume units to “thousands of cubic feet” for reporting totals. If you are converting volumes for this report, please use the appropriate multiplier unless you have a more accurate multiplier or conversion factor for your specific region and species.

TABLE 6.

Sources	Volume	Volume Unit ¹ — Specify unit using list in comment box	Percent Delivered by Trained Loggers ^{vii} (%)	Percent from certified forests ²
1. United States				
a. <i>Fee and long-term lease</i> ³				
b. <i>U.S. federal land</i> ⁴				
c. <i>All other public lands</i> ⁵				
d. <i>Direct purchase from private landowners</i> ⁶				
e. <i>All other sources</i> ⁷				
2. Canadian				
a. <i>Private and long-term lease</i> ⁸				
b. <i>Crown land</i> ⁹				
c. <i>Non-controlled Crown land</i> ¹⁰				
d. <i>Direct purchase from private landowners</i> ¹¹				
e. <i>All other sources</i> ¹²				

¹ Bone Dry Tons; Bone Dry Units; Cords; Cubic Meters; Cunits-Chips (CCF); Cunits-Roundwood; Cunits-Sawdust; Cunits-Whole Tree Chips; Green Tons; MBF-Doyle; MBF-International ¼”; MBF-Scribner (“C” or “small”); MBF-Scribner (“Larger” or “Long”); MCF-Thousand Cubic Feet.

² Your best estimate of the percentage of raw materials that came from forests that have been certified to the SFI, American Tree Farm, or Canadian Standards Association standards.

³ Private land you own (fee) or control through a long-term lease. A long-term lease is one that extends beyond a single rotation – lands would not be included if the number of years specified or remaining on a lease is less than one rotation.

⁴ Include the total raw material sourced from U.S. Federal Lands (USFS, BLM and any other federal land). This includes direct purchases and your best estimate of indirect purchases-- raw material sourced from U.S. Federal Lands that are supplied by loggers, wood dealers and others.

⁵ Include direct and indirect purchases or raw material from State & County lands and all other non-federal public lands.

⁶ Purchases you made directly from private forests (family forests, industry, TIMOs, and all other privately held forests).

⁷ Include raw material originating from private forests (family forests, industry, TIMOs, and all other privately held forests) that was not purchased directly from the landowner

⁸ Private, freehold land you own or control through a long-term lease.

⁹ Crown land (federal and provincial) that you control through a long-term lease.

¹⁰ Direct and indirect purchases from Crown land (federal and provincial) that you do not control through a long-term lease.

¹¹ Purchases you made directly from private (freehold) forests (family forests, industry, TIMOs, and all other privately held forests)

¹² Include raw material originating from private forests (family forests, industry, TIMOs, and all other privately held forests) that was not purchased directly from the landowner.

V. Landowner Assistance Programs- 2011 Report^{viii} (for Fall of 2010, Spring of 2011)

- Landowner Assistance Program report for 2010-2011 planting season (Fall of 2010, Spring of 2011)

Please check one of the boxes below and attach additional sheets if your organization has programs in both the U.S. and Canada. This report is for: U.S. Operations Canadian Operations

- Does your organization provide forest management assistance to family forest owners? If no, then skip to the bottom of this page, sign and return the survey. Yes No
- Do you supply a forest management plan to your clients? If, no, then go to question 4. Yes No
- Which of the following are addressed in the management plan that you provide? Check all that apply.
 - Timber Management Soil and Water Conservation
 - Wildlife Management Best Management Practices
 - Recreational Uses Endangered Species
 - SFI
- Number of acres managed by landowners participating in your assistance program. Do not include agricultural or other lands unless they are referenced in the management plan: _____ (acres).
- What percentage of the acres artificially regenerated with your assistance in the 2010-2011 planting season were completed within two years of the final harvest? _____ (%)
- How many family forest owners receive forest management advice from you on a continuing basis, year after year (Include the total number of family forest owners formally enrolled in your landowner assistance program in 2010-2011)? _____.
- How many other family forest owners did you assist in 2010-11 (Include the number of landowners you advise on an infrequent basis through informal management advice; informal packets; phone calls, etc.)? _____.
- How many NIPF acres were artificially regenerated with your assistance in the 2010-2011 planting season?
Conifer _____ (acres) Hardwood _____ (acres)
- How many NIPF acres did you help family forest owners plan for natural regeneration (Naturally regenerated means that the stand was managed to achieve natural reproduction during 2010-11.)?
Conifer _____ (acres) Hardwood _____ (acres)
- How many seedlings did your organization provide to family forest owners at no cost in the 2010-2011 planting season?
Conifer _____ Hardwood _____

VI. Conservation Partnerships

Since 1995, SFI-certified organizations have contributed more than \$1 billion (US) for research activities to improve the health, productivity and responsible management of forest resources. These conservation partnerships are key to responsible forest management, and SFI Inc. acknowledges them in a number of ways, including through conservation awards. Is your organization currently involved in any conservation partnerships/projects?

- o Yes No
- o If yes, please describe below and/or with attachments. The description should include: name of project; partners involved; conservation objective; start date; (estimated) completion date; total project cost; your organization's contribution; other. Note: Please only list projects that were active or concluded in 2011/2012. If you are reporting more than one project, please copy and paste the below table as often as needed.

TABLE 7.

Project Name	
Short Project Description	
Partners	
Conservation Objective	
Start Date (estimated)	
Completion date (estimated)	
Total Project Cost	
Contribution	
Other	

VII. SFI Label Use

SFI Inc. often features companies that use SFI on-product labels, and shows samples of these products, in publications and other market outreach materials that raise awareness of the value of certification. If we can feature your organization/products, please let us know to contact you or forward SFI-labeled samples to Amy Doty, 900 17th Street, Suite 700, Washington, DC 20006.

VIII. Government Relations (optional)

As part of our SFI 2011 strategy, SFI Inc will work with governments at the local, state/provincial and federal levels to enhance recognition of the value of the SFI program across public and private lands and certified and uncertified lands through our fiber sourcing program, our forest management standard and key outreach activities such as conservation projects, Habitat for Humanity and research. Information regarding your organization's involvement in government programs, partnerships and projects would be helpful for SFI to support your work and develop further opportunities to build strong relationships with governments to increase understanding and support of the SFI program. SFI Inc. is also interested in any challenges or unexplored opportunities to build those relationships and ensure strong support of the SFI program and acceptance of SFI certified forest products.

TABLE 8.

Current projects involving government	
Opportunities to involve government moving forward	
Current challenges related to SFI and government acceptance	

IX. Profile

SFI Inc. is often asked for short profiles on SFI Program Participants. If possible, please provide a brief profile of your organization including the number of employees you had at the end of the year and any product information in the space below or with attachments.

X. Off-Shore Fiber

Currently, data collection for the SFI program report includes only U.S. and Canadian information. However, SFI is interested in how much fiber Program Participants procure from offshore and use in manufacturing facilities in the U.S. or Canada that are enrolled in the SFI program. The SFI definition of procurement is: Acquisition of roundwood (sawlogs or pulpwood) and field-manufactured or primary-mill residual chips, pulp, and veneer to support a forest products manufacturing facility.

- Does your company procure off-shore fiber (outside U.S./Canada)? Yes No
- If yes, how much fiber used by your manufacturing facilities in the US or Canada enrolled in the SFI program is procured from off-shore (please specify units-green tons, MCF, etc.)? _____

XI. Biotechnology & Genetic Engineering

Forest tree biotechnology includes the study of genes and genomes and the asexual insertion of genes into trees, or, genetic engineering (GE). Genetically engineered plants are regulated in the US by the USDA Animal and Plant Health Inspection Service (APHIS). To date APHIS has approved the use of 70 products including two trees (papaya and plum), but no forest trees have been submitted for approval at this time.

Are you:

- Currently doing research with GE trees? Yes No
- Planning any research with GE trees? Yes No
- Planning commercial plantings of GE trees? Yes No
 - if yes, year of anticipated deployment _____
- What % of your current US and Canadian supply is from GE trees? _____%
 - What do you project your % will be in 5 years? _____%
- What % of your current off shore supply is from GE trees? _____%
 - What do you project your % will be in 5 years? _____%

XII. Please use the space below to address any other issues or ideas you may have for the Sustainable Forestry Initiative Program.

ENDNOTES

ⁱ A forested area is classified as “forestland” if it is at least one acre in size and contains 10 percent tree cover.

ⁱⁱ These questions are directed solely at harvest and regeneration activities on participant-owned lands, lands under long-term lease to the participant, or lands for which the participant has forest management responsibilities. A long-term lease is one that extends beyond a single rotation – lands would not be included if the number of years specified in or remaining on a lease is less than one rotation.

ⁱⁱⁱ Only refer to units where harvesting was completed in 2011. This includes harvesting activities that were started in 2010 and completed in 2011, but not those that were still underway by the end of 2011 calendar/fiscal year.

^{iv} There are a variety of definitions for the term “clearcut.” In order of preference, the following definitions should be used:

- First, use the legal definition within the state or province in which harvesting activities took place.
- Second, if no legal definition exists within the state or province, use the Society of American Foresters (SAF 1998) definition: “Clearcutting is a regeneration or harvest method that removes essentially all trees in a stand.”
- Third, if the SAF definition is deemed inappropriate, you can use a company-specific definition that is consistent with the spirit and intent of the SFI program. Please include the definition with your report.

^v The replanting “clock” starts after the entire unit is harvested or the sale has been completed (see end note iii). Do not include areas that were replanted due to poor seedling survival. The last column (five-year regeneration success) is designed to provide information on regeneration successes across all regeneration categories: planting, seeding and natural regeneration. As an example, for the time frame ending 12-31-11, list the percentage of harvest units that have adequate regeneration after five complete growing seasons post-harvest.

^{vi} List the amount of funding in \$US or \$Canadian your organization provided in the calendar/fiscal year for forest-related research within your organization (internal) and outside your organization (external) through grants, in-kind assistance, cooperatives, etc. Internal research funding includes salaries for forest-related research staff. While it is difficult in many instances to identify to which category research funding should be allocated, Use your best judgment to identify the primary intent of the project so you can include it in the appropriate research category. If this is not possible, use the “other” category.

^{vii} A trained logger has specialized skills in timber harvesting gained through experience or formal training, and has successfully completed wood producer training programs recognized by SFI Implementation Committees as meeting the spirit and intent of performance measure under Objective 9 of the SFI Standard.

- a. For a logging crew to be considered trained, each crew must operate under the direction of an individual who has on-site responsibility and has completed a state or provincial logger training program approved by an SFI Implementation Committee.
- b. All of the components of a training program could take several years to carry out. The point at which a logger is considered a “qualified logging professional” should be based on the individual’s commitment to the program. That is, if a logger completes all the components or modules offered in a given year, that logger should be considered as a “qualified logging professional.” If all available components or modules are not completed, then the logger is no longer considered trained until all available components are completed.

Also see: White Paper on Logger Training Guidelines for State Logger Training and Education Programs to Ensure Consistency with The Sustainable Forestry Initiative® (SFI) program, for more information on the definition of trained loggers.

^{viii} Data for landowner assistance programs (LAP) are collected on a planting season basis rather than a calendar year. Provide the data requested for the fall of 2010 and spring of 2011 planting seasons.

ANNEX I. Volume Multipliers for Converting to Thousand Cubic Feet (MCF)

SFI Inc. uses the following multipliers to convert various volume units to thousands of cubic feet for reporting the total raw material used at your manufacturing facilities (including log & chip exports). Please use the appropriate multiplier unless you have a more accurate multiplier or conversion factor for your specific region and species.

Bone Dry Tons	0.0713
Bone Dry Units	0.0825
Cords	0.0750
Cubic Meters	0.0353
Cunits-Chips (CCF)	0.1000
Cunits-Roundwood	0.1000
Cunits of Sawdust	0.1470
Cunits-Whole Tree Chip	0.1260
Green Tons	0.0315
Green Metric Tonnes	0.3472
MBF-Doyle	0.2220
MBF-International 1/4"	0.1460
MBF-Scribner ("C" or "Small")	0.1650
MBF-Scribner ("Large" or "Long")	0.1450
MCF-Thousand Cubic Feet	1.0000
Oven Dried Metric Tons	0.0758