



Recertification Audit Report

Sustainable Forestry Initiative® Standard

April 25, 2014

A. Program Participant's Name: Masonite Corporation

FRS #: 9Z171

B. Scope:

The procurement organization supplying wood fiber to its facility in Laurel, Mississippi. The scope of the SFI Program includes Objectives 8-10, 14-17 and 19-20. The SFI Certificate Number is NSF-SFIS-9Z171.

- No Change
- Changed (see Section H, revised scope statement noted on FRS)

C. NSF Audit Team:

Lead Auditor: Norman Boatwright

D. Audit Dates: April 22, 2014

E. Reference Documentation:

2010-2014 SFI Standard®

Company SFI Documentation: SFI Program Date Revised: 4/13/2013

F. Audit Results: Based on the results at this visit, the auditor concluded

- Acceptable with no nonconformances; or
- Acceptable with a minor nonconformance that should be corrected before the next regularly scheduled surveillance visit;
- Not acceptable with one or two major nonconformances - corrective action required;
- Several major nonconformances - the certification may be canceled unless immediate action is taken

G. Changes to Operations or to the SFI Standard:

Are there any significant changes in operations, procedures, specifications, FRS, etc. from the previous visit? Yes No

If yes, provide brief description of the changes:

H. Other Issues Reviewed:

Yes No Public report from previous audit(s) is posted on SFB web site.

Yes No N.A. SFI and other relevant logos or labels are utilized correctly. If no, document on CAR forms.

Yes No The program is a Multi-site Organization

I. Corrective Action Requests: (see also Appendix IV)

Correct Action Requests issued this visit:

- Corrective Action Plan is not required.
- Corrective Action Plan has been approved. CARs will be verified during the next Surveillance Audit.
- Corrective Action Plan is required within thirty days of this visit (for Major Nonconformances).

The auditor will make arrangements to verify the corrective action has been effectively implemented. All major nonconformance(s) must be closed by the auditor prior to the next scheduled surveillance audit by a special verification visit or by desk review, if possible.

At the conclusion of this Surveillance Audit visit, the following number of CARs remain open:

MAJOR(S): None MINOR(S): None

In addition, an Opportunities For Improvement (OFI) was identified.

J. Future Audit Schedule:

Follow-up or Surveillance Audits are required by the 2010-2014 Sustainable Forestry Initiative Standard ®. The next Surveillance Audit is scheduled for the week of April 20, 2015. The assigned lead auditor will contact you 2-3 months prior to this date to reconfirm and begin preparations. Recertification must be completed before May 17, 2017.

Appendices:

Appendix I: Recertification Notification Letter and Audit Schedule

Appendix II: Public Recertification Audit Report

Appendix III: Audit Matrix

Appendix IV: SFI Reporting Form (not included – no change)

Appendix I



Recertification Notification Letter and Audit Schedule



VIA EMAIL

Mr. Tommy Cotten
Masonite Corporation
P.O. Box 1048
Laurel, Mississippi 39441

April 17, 2014

Re: Confirmation of 2014 SFI Standard Recertification Audit

Dear Mr. Cotten,

As we have discussed, I am scheduled to conduct the Recertification Audit of your Laurel, MS facility Tuesday April 22, 2014. This is a full review of your SFI Program to confirm that it continues to be in conformance with the SFI Standard and that continual improvement is being made.

The audit team will consist of me as NSF-ISR Lead Auditor.

During the audit I will:

1. Review progress on achieving SFI objectives and performance measures and the results of the management review of your SFI Program;
2. Review selected components of your SFI program;
3. Verify effective implementation of any corrective action plans from the previous NSF audit;
4. Review logo and/or label use;
5. Confirm public availability of public reports; and
6. Evaluate the effectiveness of planned activities aimed at continual improvement of your SFI Program.

You will be audited to the 2010-2014 Sustainable Forestry Initiative Standard ®.

The enclosed tentative schedule outlines the topics I expect to review during this visit. The schedule can be adapted either in advance or on-site to accommodate any special circumstances. I will fly into Gulfport Monday afternoon and drive to Laurel.

I look forward to visiting you and evaluating continual improvement in your SFI Program. If you have any questions regarding this planned audit, please call me at 843.229.1851.

Sincerely yours,

Norman I. Boatwright, III

Norman I. Boatwright, III
Lead Auditor, NSF-ISR, Ltd.

Enclosure: Agenda for Surveillance Audit

Tentative Recertification Audit Schedule
Masonite Corporation, MS Facility (9Z171)
Sustainable Forestry Initiative Standard 2010-2014

TIME	ACTIVITY
Tuesday April 22th	
8:00 pm	Opening Meeting/Agenda Review Review changes to the Facility Record Sheet <ul style="list-style-type: none"> - Discuss changes/improvements to the SFI Program, changes in operations, or changes in scope since the certification audit - Review NSF SFI Audit Procedures - Discuss field site visit provisions and other logistical issues - Review SFI Inc. Survey forms and confirm public report is available to public - Review minutes of Management meetings - Select field sites
8:30 pm	<u>SFI Program Review</u> (<i>Auditor will review all of the following and phone calls will also be made</i>) <ul style="list-style-type: none"> Objective 8 Landowner Outreach Objective 9 Use of Qualified Resource and Qualified Logging Professionals Objective 10 Adherence to Best Management Practices Objective 14 Legal and Regulatory Compliance Objective 15 Forestry Research, Science, and Technology Objective 16 Training and Education Objective 17 Community Involvement in the Practice of Sustainable Forestry Objective 19 Communications and Public Reporting Objective 20 Management Review and Continual Improvement
11:00 – 3:30 pm	Lunch & audit field sites
3:30 pm	Closing meeting
5:00 pm	Auditor departs

Site Visits:

Please prepare a list sites with activity since the certification audit. Will also need a rough map showing where the tracts are located. I will choose tracts based on the following criteria:

- Sites that have been inspected as part of the company’s monitoring program, including stumpage and gate wood sites if applicable;
- Stumpage sales where company policies related to BMPs, visual quality, utilization, species protection and cultural/historic issues have been communicated to the landowner;
- Any steeper and mountainous terrain where erosion and soil stability may be issues of concern;
- Any wet sites where soil compaction, rutting, and riparian protection may be issues of concern;
- Active sites where contract loggers and landowners can be interviewed;
- Sites where logging has been completed and the site has been closed-out;
- Sites with a range of timber types that encompass the most common species or products harvested.

Potential Interviews:

Please prepare a list with contact information that includes the following:

- List of all Contract Loggers that harvest stumpage sales, prioritized by production;
- List of all landowners that have sold their timber to Masonite within the past year;
- Masonite’s foresters with responsibility for the SFI Program;
- The Plant Manager and members of the senior management team;
- State Forestry Commission BMP foresters within the procurement region;
- Masonite’s representatives on the SFI program State Implementation Committees;

Appendix II



Public Summary Audit Report



Masonite Corporation SFI 2014 Public Summary Recertification Audit Report

The SFI Program of the Masonite Corporation of Laurel, Mississippi has achieved conformance with the SFI Standard®, 2010-2014 Edition, according to the NSF-ISR SFIS Certification Audit Process.

NSF-ISR initially certified Masonite to the SFIS on March 12, 2003 and conducted numerous annual surveillance audits and recertification audits in 2006 and 2011. This report describes the third recertification audit which is designed to focus on changes in the standard, changes in operations, the management review system, and efforts at continuous improvement. In addition, all of the applicable SFI requirements were selected for detailed review.

Masonite Corporation is a small to medium sized forest products company with a manufacturing facility located in Laurel, Mississippi where it produces hardboard. It does not own any operational forestland or have any long term forest management leases and purchases virtually all of its raw material from independent wood suppliers and residual materials from sawmills.

Masonite Corporation procures fiber from an approximately 150 mile radius. The primary species used include pine and hardwood. Masonite Corporation's SFI Program is managed by Tommy Cotten.

The recertification audit was performed by NSF-ISR on April 22, 2014 by an audit team headed by Norman Boatwright, Lead Auditor. Audit team members fulfill the qualification criteria for conducting SFIS Certification Audits of "Section 9. SFI 2010-2014 Audit Procedures and Auditor Qualifications and Accreditation" contained in Requirements for the SFI 2010-2014 Program: Standards, Rules for Label Use, Procedures, and Guidance.

The objective of the audit was to assess conformance of the firm's SFI Program to the requirements of the Sustainable Forestry Initiative® Standard, 2010-2014 Edition.

The scope of the SFIS Audit included procurement operations. Procurement operations occurring in the past 12 months were reviewed to ensure that SFI Procurement Standards were met. In addition, SFI obligations to promote sustainable forestry practices, to seek legal compliance, and to incorporate continual improvement systems were within the scope of the audit.

The 2010-2014 Sustainable Forestry Initiative Standard® was used without modifying any requirements. Several of the SFI Indicators were outside of the scope of the company's SFI program and were excluded from the scope of the SFI Certification Audit as follows:

- Objectives 1 - 7 Land Management
- Core Indicator 8.1.2 FECV and Purchased Stumpage
- Core Indicator 10.1.2 Purchased Stumpage and BMPs

- Objectives 11 - 13 Fiber Sourcing outside Canada and the US
- Core Indicator 14.2.2 Forestry enterprises
- Core Indicator 15.1.2 Research on genetically engineered trees
- Core Indicator 16.1.4 Contractor Training
- Core Indicator 16.1.5 Forestry Enterprises
- Objective 18 Public land management responsibilities

SFIS Recertification Audit Process

The review was governed by a detailed audit protocol designed to enable the audit team determine conformance with the applicable SFI requirements. The process included the assembly and review of audit evidence consisting of documents, interviews, and on-site inspections of ongoing or completed forest practices. Documents describing these activities were provided to the auditor in advance, and a sample of the available audit evidence was designated by the auditor for review.

During the audit NSF-ISR reviewed a sample of the written documentation assembled to provide objective evidence of SFIS Conformance. NSF-ISR also selected field sites for inspection based upon the risk of environmental impact, likelihood of occurrence, special features, and other criteria outlined in the NSF-ISR SFI-SOP. NSF-ISR also selected and interviewed stakeholders such as contract loggers, landowners and other interested parties, and interviewed employees within the organization to confirm that the SFI Standard was understood and actively implemented.

The possible findings for specific SFI requirements included Full Conformance, Major Non-conformance, Minor Non-conformance, Opportunities for Improvement, and Practices that exceeded the Basic Requirements of the SFIS. Surveillance Audits generally focus on conformance issues and do not generally address exceptional practices.

Overview of Audit Findings

The NSF-ISR Audit team did not identify any non-conformances and one (1) opportunity for improvement. No non-conformances were issued in 2013.

- 17.2.1 There is an opportunity to improve the process of providing periodic educational opportunities promoting sustainable forestry.

NSF-ISR also identified the following areas where forestry practices and operations exceed the basic requirements of the SFI Standard:

- 10.2.1 Where wood is procured through wood producers, the company encourages the wood producers to pass SFI Information Packets on to landowners that they purchase wood from. Masonite Corporation relies on publicly available information, including Forest Service FIA data, to assess the results of its promotion program to encourage forest regeneration. Masonite Corporation also relies on the Mississippi BMP Implementation Monitoring Program to assess the use of BMPs: [“2013 BMP Implementation Survey”](#). To supplement publicly available information, the company contacts randomly

selected wood producers to arrange for one on-site inspection per month. A Monthly BMP Survey is completed and the information is summarized and reported to the Procurement Manager.

- 20.1.3 Confirmed annual management review occurred March 14, 2014 and was attended by the Procurement Staff and Mill manager. Review of agenda and minutes indicates the following was discussed: SFI Policy, Procurement Program and SFI Evidence Manual, BMP Compliance Monitoring Information, SFI landowner packets distributed, % wood delivered by SFI trained loggers, MS SIC Implementation Committee Action items, feedback from Employees, Landowners and other interested parties. Goals were also set and include: for BMPs – reemphasizing timely road and skid trail stabilization, keeping tops out of streams and educating suppliers on the use pre harvest planning and the use of topo maps as guides for stream classification, 100% deliveries from SFI trained loggers and chipping crews. These activities constitute an exceptional practice.

The next surveillance audit is scheduled for the week of April 20, 2015.

General Description of Evidence of Conformity

NSF's audit team used a variety of evidence to determine conformance. A general description of this evidence is provided below, organized by SFI Objective.

Objective 8. Landowner Outreach - To broaden the practice of sustainable forestry by forest landowners through fiber sourcing programs.

Summary of Evidence – Interviews with staff and stakeholders and review of programs offered (Mississippi SIC and the landowner handout) were used to confirm these requirements.

Objective 9. Use of Qualified Resource and Qualified Logging Professionals - To broaden the practice of sustainable forestry by encouraging forest landowners to utilize the services of forest management and harvesting professionals.

Summary of Evidence – Training records of selected personnel, records associated with harvest sites audited, and stakeholder interviews were the key evidence for this objective.

Objective 10. Adherence to Best Management Practices - To broaden the practice of sustainable forestry through the use of best management practices to protect water quality.

Summary of Evidence – Field observations on seven (7) tracts and review of BMP monitoring records were the primary evidence used to assess adherence to BMPs.

Objective 14. Legal and Regulatory Compliance - Compliance with applicable federal, provincial, state and local laws and regulations.

Summary of Evidence – Field reviews of ongoing and completed operations were the most critical evidence. An interview was also conducted with the MS SIC inconsistent practices chairman was also used.

Objective 15. Forestry Research, Science, and Technology - To support forestry research, science, and technology, upon which sustainable forest management decisions are based.

Summary of Evidence – Financial records were confirmed by contacting the recipients of research support.

Objective 16. Training and Education -To improve the implementation of sustainable forestry practices through appropriate training and education programs.

Summary of Evidence – Training records of the procurement organization and records associated with harvest sites audited were the key evidence for this objective.

Objective 17. Community Involvement in the Practice of Sustainable Forestry - To broaden the practice of sustainable forestry by encouraging the public and forestry community to participate in the commitment to sustainable forestry, and publicly report progress.

Summary of Evidence – Mailing lists, agendas for meetings, and selected summaries of comments were sufficient to assess the requirements.

Objective 19. Communications and Public Reporting - To broaden the practice of sustainable forestry by documenting progress and opportunities for improvement.

Summary of Evidence – Reports filed with SFI Inc. and the SFI Inc. website provided the key evidence.

Objective 20. Management Review and Continual Improvement - To promote continual improvement in the practice of sustainable forestry, and to monitor, measure, and report performance in achieving the commitment to sustainable forestry.

Summary of Evidence – Records of program reviews, agendas and notes from management review meetings, and interviews with personnel from all involved levels in the organization were assessed.

Relevance of Forestry Certification

Third-party certification provides assurance that forests are being managed under the principles of sustainable forestry, which are described in the Sustainable Forestry Initiative Standard as:

1. Sustainable Forestry

To practice sustainable forestry to meet the needs of the present without compromising the ability of future generations to meet their own needs by practicing a land stewardship ethic that integrates reforestation and the managing, growing, nurturing and harvesting of trees for useful products and ecosystem services such as the conservation of soil, air and water quality, carbon, biological diversity, wildlife and aquatic habitats, recreation, and aesthetics.

2. Forest Productivity and Health

To provide for regeneration after harvest and maintain the productive capacity of the forest land base, and to protect and maintain long-term forest and soil productivity. In addition, to protect forests from economically or environmentally undesirable levels of wildfire, pests, diseases, invasive exotic plants and animals and other damaging agents and thus maintain and improve long-term forest health and productivity.

3. Protection of Water Resources

To protect water bodies and riparian zones, and to conform with best management practices to protect water quality.

4. Protection of Biological Diversity

To manage forests in ways that protect and promote biological diversity, including animal and plant species, wildlife habitats, and ecological or natural community types.

5. Aesthetics and Recreation

To manage the visual impacts of forest operations, and to provide recreational opportunities for the public.

6. Protection of Special Sites

To manage forests and lands of special significance (ecologically, geologically or culturally important) in a manner that protects their integrity and takes into account their unique qualities.

7. Responsible Fiber Sourcing Practices in North America

To use and promote among other forest landowners sustainable forestry practices that are both scientifically credible and economically, environmentally and socially responsible.

8. Avoidance of Controversial Sources including Illegal Logging in Offshore Fiber Sourcing

To avoid wood fiber from illegally logged forests when procuring fiber outside of North America, and to avoid sourcing fiber from countries without effective social laws.

9. Legal Compliance

To comply with applicable federal, provincial, state, and local forestry and related environmental laws, statutes, and regulations.

10. Research

To support advances in sustainable forest management through forestry research, science and technology.

11. Training and Education

To improve the practice of sustainable forestry through training and education programs.

12. Public Involvement

To broaden the practice of sustainable forestry on public lands through community involvement.

13. Transparency

To broaden the understanding of forest certification to the SFI 2010-2014 Standard by documenting certification audits and making the findings publicly available.

14. Continual Improvement

To continually improve the practice of forest management, and to monitor, measure and report performance in achieving the commitment to sustainable forestry.

Source: Sustainable Forestry Initiative® (SFI) Standard, 2010-2014 Edition

For Additional Information Contact:

Norman Boatwright Forestry Program Manager, NSF-ISR P.O. Box 4021 Florence, SC 29502 843-229-1851 nboatwright12@gmail.com	Tommy Cotten Wood Procurement Mgr. Masonite Corporation P. O. Box 1048 Laurel, MS 39441 601-422-2282 tcotten@masonite.com
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Appendix IV



Audit Matrix

Masonite Laurel, MS

4/22/2014 Recertification Audit

NSF-ISR SFI 2010-2014 MATRIX INCLUDING GUIDANCE FOR TRANSITION REQUIREMENTS

The “transition rules” outlined in Section 9 of “Requirements for the SFI 2010-2014 Program: Standards, Rules for Label Use, Procedures, and Guidance” have been considered in the design of this checklist. During 2010 the option exists of remaining under the 2005-2009 Standard. NSF will maintain a separate “2005-2009” checklist through 2010; the following checklist is used for audits against the SFI 2010-2014 Standard.

- Between April 1 and December 31, 2010 auditors conducting surveillance audits for customers choosing **not to transition yet** should complete the old checklist for all applicable requirements and the new checklist only for those items that are additional. Additional requirements have been underlined; most have additional comments attached (seek technical support if you don’t know how to set your version of MSWord so that the comments are visible).
- Between April 1 and December 31, 2010 auditors conducting recertification audits should use the new checklist, but shall not issue “real” non-conformances for those items that are additional. Instead “transitional gaps” are identified; these are tracked in the NSF OASIS / NSF On-line database system, but do not count against the customer in the overall determination of conformance with the standard.

Findings and Instructions:

C	Conformance
Exr	Exceeds the Requirements
Maj	Major Non-conformance
Min	Minor Non-conformance
OFI	Opportunity for Improvement (can also be in Conformance)
NA	Not Applicable
Likely Gap *	Likely Gap Against 2010-2014 SFIS*
Likely Conf. *	Likely Conformance With 2010-2014 SFIS*
	* formerly used for transition issues; Gap columns retained for use during Baseline Audits.
Auditor	Optional; may be used for audit planning.
10, 11	Date Codes, for example: 11= July 2011; 12=Aug. 2012
Other	Words in <i>italics</i> are defined in the standard.

Objective 8. Landowner Outreach.

To broaden the practice of sustainable forestry by forest landowners through fiber sourcing programs.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
8.1	Program Participants shall provide information to landowners for reforestation following harvest, for the use of best management practices, and for identification and protection of important habitat elements for wildlife and biodiversity, including Forests with Exceptional Conservation Value.	NB	14						
Notes	14 Masonite Corporation has an active program to provide regionally appropriate SFI information to forest landowners. Where the company procures wood and fiber from wood producers, it encourages those wood producers to pass information on to landowners that they purchase wood from.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
8.1.1	Program Participants shall supply regionally appropriate information or services (e.g. information packets, websites, newsletters, workshops, tours, etc.) to forest landowners, describing the importance and providing implementation guidance on: <ul style="list-style-type: none"> a. best management practices; b. reforestation and afforestation; c. visual quality management; d. conservation of critical wildlife habitat elements, biodiversity, threatened and endangered species, and Forests with Exceptional Conservation Value; e. management of harvest residue (e.g. slash, limbs, tops) considers economic, social, environmental factors (e.g. organic and nutrient value to future forests) and other utilization needs; f. control of invasive exotic plants and animals; and g. characteristics of special sites. 	NB	14						
Notes	14 Review of landowner handout developed by the MSU Extension Service, "Sustainable Forestry", indicates it adequately covers a-g. 14 Masonite has not purchased a direct stumpage tract in the past 12 months. 14 Interviewed 3 wood suppliers and they all indicated the Masonite had provided them with the landowner packets and encouraged them to supply them to landowners. Also reviewed copies of letters to wood suppliers sent April 15, 2014 that describe Masonite's wood sourcing policy and encouraging them to give the information to landowners.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
8.1.2	Program to address Forests with Exceptional Conservation Value in harvests of purchased stumpage.	NA							
<i>Notes</i>	Masonite does not purchase stumpage.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
8.1.3	Encourage forest landowners to participate in forest management certification programs.	NB	14						
<i>Notes</i>	14 Confirmed information regarding SFI and ATFS certification is addressed in the landowner information packet.								

Objective 9. Use of Qualified Resource and Qualified Logging Professionals.

To broaden the practice of sustainable forestry by encouraging forest landowners to utilize the services of forest management and harvesting professionals.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
9.1	Program Participants shall encourage landowners to utilize the services of certified logging professionals (where available), qualified resource professionals and qualified logging professionals in applying principles of sustainable forest management on their lands.	NB	14						
<i>Notes</i>	14 Masonite Corporation actively encourages landowners to utilize the services of qualified resource professionals and qualified logging professionals in applying principles of sustainable forest management on lands that the company procures wood from.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
9.1.1	Program to promote the use of certified logging professionals (where available), qualified resource professionals and qualified logging professionals.	NB	14						

<i>Notes</i>	14 Mississippi does not have a Certified Professional Logger Standard and loggers are not independently audited. Masonite Corporation encourages wood producers to attend the Logger Training Program in the State of Mississippi. Masonite Corporation also encourages its wood producers to promote the use of qualified resource and/or logging professionals by others in the forestry community. 14 Confirmed by review of letter sent 4/15/2014 to wood suppliers.
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	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
9.1.2	List of certified logging professionals and qualified logging professionals maintained by Program Participant, state or provincial agency, loggers' association or other organization.	NB	14						
<i>Notes</i>	14 This data is maintained by the MSU Logger Education Office. Masonite also maintains a spreadsheet, SFI Supplier Training 4/18/2014, that indicates which classes have been attended and their certification expiration date..								

Objective 10. Adherence to Best Management Practices.

To broaden the practice of sustainable forestry through the use of best management practices to protect water quality.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1	Program Participants shall clearly define & implement policies to ensure that facility inventories and fiber sourcing activities do not compromise adherence to the principles of sustainable forestry.	NB	14						
<i>Notes</i>	14 Masonite Corporation encourages all wood producers to complete an SFI recognized* training program.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1.1	Program for the purchase of raw material from certified logging professionals (where available) and from wood producers that have completed training programs and are recognized as qualified logging professionals.	NB	14						
<i>Notes</i>	14 Mississippi does not have a Certified Professional Logger Standard and loggers are not independently audited. Masonite Corporation encourages wood producers to attend the Logger Training Program in the State of Mississippi. Masonite Corporation also encourages its wood producers to promote the use of qualified resource and/or logging professionals by others in the forestry community. 14 Confirmed by review of letter sent 4/15/2014 to wood suppliers.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1.2	Program to require that harvests of purchased stumpage comply with best management practices.	NA							
Notes	Masonite does not purchase stumpage.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1.3	Contracts for the purchase of raw material include provisions requiring the use of best management practices.	NB	14						
Notes	14 Review of 3 wood supplier agreements for Broome & Sons Wood chipping, B&B Chip & Timber, Cohay Creek Porestry and Kinard Burge & Sons, Whole Tree Chip Purchase Agreements and a Wood Purchase Contract indicate they all contain clauses that require BMP usage and maintaining SFI training.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1.4	Program to address adverse weather conditions.	NB	14						
Notes	<p>14 Masonite Corporation has a comprehensive program to address adverse weather conditions and ensure that water quality is protected. The Company takes appropriate steps to plan its procurement activities and operations to avoid adverse weather conditions to protect water quality and soil productivity. A key procurement provision is to build inventory at the mill during the fall months to avoid logging activities during the wet season.</p> <p>14 Review of Masonite/Laurel Total Wood Inventory Targets-Receipts-usage for 2013 and through March 2014 the shows both planned and actual wood inventories rising in the late fall in anticipation of wet winter and declining in the spring as inventory is consumed.</p>								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.1.5	Program Participants shall clearly define their fiber sourcing policies in writing and make them available to wood producers.	NB	14						
Notes	14 Masonite's fiber sourcing policy is clearly defined in the letter sent to all wood suppliers 4/15/2014.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.2	Program Participants shall monitor the use of best management practices.	NB	14						
<i>Notes</i>	14 Masonite Corporation has a verifiable monitoring system to evaluate the effectiveness of its promotion of reforestation and use of BMPs across its wood and fiber supply area, and uses that information to set goals for continual improvement.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.2.1	A verifiable monitoring system to: a. monitor the use of best management practices by wood producers supplying the Program Participant; and b. evaluate use of best management practices across the wood and fiber supply area.	NB		14					
<i>Notes</i>	<p>14 Where wood is procured through wood producers, the company encourages the wood producers to pass SFI Information Packets on to landowners that they purchase wood from. Masonite Corporation relies on publicly available information, including Forest Service FIA data, to assess the results of its promotion program to encourage forest regeneration. Masonite Corporation also relies on the Mississippi BMP Implementation Monitoring Program to assess the use of BMPs: “2013 BMP Implementation Survey”. To supplement publicly available information, the company contacts randomly selected wood producers to arrange for one on-site inspection per month. A Monthly BMP Survey is completed and the information is summarized and reported to the Procurement Manager.</p> <p>14 Inspected 3 Monthly BMP/Regeneration Survey tracts. Surveys for all were completed correctly. This activity exceeds the requirement for this core indicator.</p>								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
10.2.2	Use of information from the verifiable monitoring system to maintain rates of conformance to best management practices and to identify areas for improved performance.	NB	14						
<i>Notes</i>	14 Review of 3/14/2014 SFI Annual Management Review meeting agenda and minutes indicates BMP compliance Monitoring was discussed and goals were set to emphasize the timely stabilization of roads and skid trails, educating suppliers on the use of topo maps as a guide for stream classification and associated compliance and the importance of preharvest planning using aerial photos and topos and monitoring SMZ compliance. A goal is for 100% deliveries of in-woods fuel from SFI trained suppliers.								

Objective 11. Promote Conservation of Biological Diversity, Biodiversity Hotspots and High-Biodiversity Wilderness Areas.

To broaden the practice of sustainable forestry by conserving biological diversity, biodiversity hotspots and high-biodiversity wilderness areas.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>11.1</i>	Program Participants shall ensure that their fiber sourcing programs support the principles of sustainable forestry, including efforts to promote conservation of biological diversity.	NA							
<i>Notes</i>	Masonite does not source fiber from outside the US or Canada.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>11.1.1</i>	Fiber sourcing from areas outside the United States and Canada promotes conservation of: a. biodiversity hotspots and high-biodiversity wilderness areas utilizing information from Conservation International; and b. biological diversity utilizing information from organizations such as the Alliance for Zero Extinction, World Wildlife Fund, World Resources Institute and International Union for Conservation of Nature.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>11.1.2</i>	Program with direct suppliers to promote the principles of sustainable forestry.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>11.1.3</i>	Documented information that includes knowledge about direct suppliers' application of the principles of sustainable forestry.								

<i>Notes</i>	
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Objective 12. Avoidance of Controversial Sources including Illegal Logging. To broaden the practice of sustainable forestry by avoidance of illegal logging.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>12.1</i>	Program Participants shall ensure that their fiber sourcing programs support the principles of sustainable forestry, including efforts to thwart illegal logging.	NA							
<i>Notes</i>	Masonite does not source fiber from outside the US or Canada.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>12.1.1</i>	Process to assess the risk that the Program Participant's fiber sourcing program could acquire material from illegal logging.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>12.1.2</i>	Program to address any significant risk identified under 12.1.1.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>12.1.3</i>	Program with direct suppliers to promote the principles of sustainable forestry.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>

12.1.4	Documented information that includes knowledge about direct suppliers' application of the principles of sustainable forestry.								
<i>Notes</i>									

Objective 13. Avoidance of Controversial Sources including Fiber Sourced from Areas without Effective Social Laws.

To broaden the practice of sustainable forestry by avoiding controversial sources.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
13.1	Program Participants shall avoid controversial sources and encourage socially sound practices.	NA							
<i>Notes</i>	Masonite does not source fiber from outside the US or Canada.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
13.1.1	Process to assess the risk that the Program Participant's fiber sourcing could take place in countries without effective laws addressing the following: a. workers' health and safety; b. fair labor practices; c. indigenous peoples' rights; d. anti-discrimination and anti-harassment measures; e. prevailing wages; and f. workers' right to organize.								
<i>Notes</i>									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
13.1.2	Program to address any significant risk identified under 13.1.1.								
<i>Notes</i>									

Objective 14. Legal and Regulatory Compliance.

Compliance with applicable federal, provincial, state and local laws and regulations.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
14.1	Program Participants shall take appropriate steps to comply with applicable federal, provincial, state and local forestry and related social and environmental laws and regulations.	NB	14						
Notes	14 Masonite Corporation’s SFI Policy specifies a commitment to achieving compliance with applicable environmental, forestry and social laws and regulations. The Company has a system in place to encourage its wood producers to comply with such laws and regulations.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
14.1.1	Access to relevant laws and regulations in appropriate locations.	NB	14						
Notes	14 BMP manual is in file and Masonite’s SFI Program contains a list of relevant laws and regulations.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
14.1.2	System to achieve compliance with applicable federal, provincial, state or local laws and regulations.	NB	14						
Notes	14 The system to achieve regulatory compliance includes: <ol style="list-style-type: none"> 1) a commitment to achieve continuing regulatory compliance; 2) contract provisions requiring contract loggers on purchased stumpage to comply with applicable laws; 3) training of contract loggers; 4) taking corrective and preventive action; and 5) management review and continual improvement. 								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
14.1.3	Demonstration of commitment to legal compliance through available regulatory action information.	NB	14						

<i>Notes</i>	Review of inconsistent practices maintained by the MS SIC indicates there has been no regulatory action taken against Masonite relative to BMPs.
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	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>14.2</i>	Program Participants shall take appropriate steps to comply with all applicable social laws at the federal, provincial, state and local levels in the country in which the Program Participant operates.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>14.2.1</i>	Written policy demonstrating commitment to comply with social laws, such as those covering civil rights, equal employment opportunities, anti-discrimination and anti-harassment measures, workers' compensation, indigenous peoples' rights, workers' and communities' right to know, prevailing wages, workers' right to organize, and occupational health and safety.	NB	14						
<i>Notes</i>	14 Written policy detailing the above is contained in the Policy Against Discrimination and Harassment statement made by the Mill Manager on 1/1/2013. 14 Masonite's Sustainable Forestry Policy also commits the company to comply with all applicable laws and regulations, including social laws.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>14.2.2</i>	Forestry enterprises will respect the rights of workers and labor representatives in a manner that encompasses the intent of the International Labor Organization (ILO) core conventions.	NA							
<i>Notes</i>	Are there any ILO-related complaints? If yes NSF must pass these along to SFI Inc. Masonite is not a Forestry Enterprise.								

Objective 15. Forestry Research, Science, and Technology.

To support forestry research, science, and technology, upon which sustainable forest management decisions are based.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.1	Program Participants shall individually and/or through cooperative efforts involving SFI Implementation Committees, associations or other partners provide in-kind support or funding for forest research to improve forest health, productivity, and sustainable management of forest resources, and the environmental benefits and performance of forest products.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.1.1	Financial or in-kind support of research to address questions of relevance in the region of operations. The research shall include some of the following issues: a. forest health, productivity, and ecosystem functions; b. chemical efficiency, use rate and integrated pest management; c. water quality and/or effectiveness of best management practices including effectiveness of water quality and best management practices for protecting the quality, diversity and distributions of fish and wildlife habitats; d. wildlife management at stand- and landscape-levels; e. conservation of biological diversity; f. ecological impacts of bioenergy feedstock removals on productivity, wildlife habitat, water quality and other ecosystem functions; g. climate change research for both adaptation and mitigation; h. social issues; i. forest operations efficiencies and economics; j. energy efficiency; k. life cycle assessment; l. avoidance of illegal logging; and m. avoidance of controversial sources.	NB	14						
Notes	14 Confirmed by review of accounts payable detail dated 1/7/2014 that Masonite is current with its NACSI dues.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.1.2	Research on genetically engineered trees via forest tree biotechnology shall adhere to all applicable federal, state, and provincial regulations and international protocols.	NA							
Notes	Masonite does not plant genetically improved planting stock.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
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15.2	Program Participants shall individually and/or through cooperative efforts involving SFI Implementation Committees, associations or other partners develop or use state, provincial or regional analyses in support of their sustainable forestry programs.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.2.1	Participation, individually and/or through cooperative efforts involving SFI Implementation Committees and/or associations at the national, state, provincial or regional level, in the development or use of some of the following: a. regeneration assessments; b. growth and drain assessments; c. best management practices implementation and conformance; d. biodiversity conservation information for family forest owners; and e. social, cultural or economic benefit assessments.	NB	14						
<i>Notes</i>	14 Confirmed by review of meeting minutes that Tommy or Ed attended all of the SIC meetings since the 2013 audit and that Tommy is on the Implementation Assessment Subcommittee. 14 Confirmed by review of approved Assessment Invoice dated 12/23/13 that the 2013-2014 MS SIC dues were paid.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.3	Program Participants shall individually and/or through cooperative efforts involving SFI Implementation Committees, associations or other partners broaden the awareness of climate change impacts on forests, wildlife and biological diversity.	NB	14						
<i>Notes</i>	14 The Company participates in cooperative efforts through the Mississippi SFI Implementation Committee to broaden awareness about the impacts of global climate change on forests, wildlife and biodiversity.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.3.1	Where available, monitor information generated from regional climate models on long-term forest health, productivity and economic viability.	NB	14						
<i>Notes</i>	<p>14 Confirmed by review of literature that The Procurement Manager periodically reviews available literature and web sites (http://www.epa.gov/climatechange/effects/forests.html) for available information on regional climate models and the potential impacts on wildlife and conservation of biodiversity.</p> <p>14 Masonite is a member of NCASI which periodically issues material about climate change.</p>								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
15.3.2	Program Participants are knowledgeable about climate change impacts on wildlife, wildlife habitats and conservation of biological diversity through international, national, regional or local programs.	NB	14						
<i>Notes</i>	<p>14 Confirmed by review of literature that The Procurement Manager periodically reviews available literature and web sites (http://www.epa.gov/climatechange/effects/forests.html) for available information on regional climate models and the potential impacts on wildlife and conservation of biodiversity.</p> <p>14 Masonite is a member of NCASI which periodically issues material about climate change.</p>								

Objective 16. Training and Education.

To improve the implementation of sustainable forestry practices through appropriate training and education programs.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.1	Program Participants shall require appropriate training of personnel and contractors so that they are competent to fulfill their responsibilities under the SFI 2010-2014 Standard.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
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16.1.1	Written statement of commitment to the SFI 2010-2014 Standard communicated throughout the organization, particularly to facility and woodland managers, fiber sourcing staff and field foresters.	NB	14						
Notes	14 Confirmed a memo was sent 4/4/2014 by the Mill Manager that outlined Masonite's commitment and also contained Masonite's Sustainable Forestry Policy. 14 Masonite's commitment to SFI was also outlined in the April 2013 "The Masonite Whistle" a monthly newsletter.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.1.2	Assignment and understanding of roles and responsibilities for achieving SFI 2010-2014 Standard objectives.	NB	14						
Notes	14 Masonite Corporation has an SFI Procurement Program outlining those employees with direct responsibility for implementing and achieving the SFIS. The Procurement Manager with responsibility for the SFI Program annually reviews and updates the Procurement Staff regarding their responsibilities for the SFI Program and new developments.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.1.3	Staff education and training sufficient to their roles and responsibilities.	NB	14						
Notes	14 Confirmed by review of the on-line database that the procurement staff are all PLM trained with up-to-date status								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.1.4	Contractor education and training sufficient to their roles and responsibilities.	NA							
Notes	Masonite does not purchase stumpage or use contractors.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.1.5	Forestry enterprises shall have a program for the use of certified logging professionals (where available) and qualified logging professionals.	NA							

<i>Notes</i>	Masonite is not a forestry enterprise.
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	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>16.2</i>	Program Participants shall work individually and/or with SFI Implementation Committees, logging or forestry associations, or appropriate agencies or others in the forestry community to foster improvement in the professionalism of wood producers.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>16.2.1</i>	Participation in or support of SFI Implementation Committees to establish criteria and identify delivery mechanisms for wood producers' training courses that address: <ul style="list-style-type: none"> a. awareness of sustainable forestry principles and the SFI program; b. best management practices, including streamside management and road construction, maintenance and retirement; c. reforestation, invasive exotic plants and animals, forest resource conservation, aesthetics, and special sites; d. awareness of responsibilities under the U.S. Endangered Species Act, the Canadian Species at Risk Act, and other measures to protect wildlife habitat (e.g. Forests with Exceptional Conservation Value); e. logging safety; f. U.S. Occupational Safety and Health Administration (OSHA) and Canadian Centre for Occupational Health and Safety (COHS) regulations, wage and hour rules, and other provincial, state and local employment laws; g. transportation issues; h. business management; i. public policy and outreach; and j. awareness of emerging technologies. 	NB	14						
<i>Notes</i>	14 This requirement is satisfied by Masonite's participation in, and support of, the MS SIC.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
16.2.2	<p>Participation in or support of SFI Implementation Committees to establish criteria for recognition of logger certification programs, where they exist, that include:</p> <ul style="list-style-type: none"> a. completion of SFI Implementation Committee recognized logger training programs and meeting continuing education requirements of the training program; b. independent in-the-forest verification of conformance with the logger certification program standards; c. compliance with all applicable laws and regulations including responsibilities under the U.S. Endangered Species Act, the Canadian Species at Risk Act and other measures to protect wildlife habitat; d. use of best management practices to protect water quality; e. logging safety; f. compliance with acceptable silviculture and utilization standards; g. aesthetic management techniques employed where applicable; and h. adherence to a management or harvest plan that is site specific and agreed to by the forest landowner. 	NB	14						
Notes	14 This requirement is satisfied by Masonite's participation in, and support of, the MS SIC.								

Objective 17. Community Involvement in the Practice of Sustainable Forestry.

To broaden the practice of sustainable forestry by encouraging the public and forestry community to participate in the commitment to sustainable forestry, and publicly report progress.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>17.1</i>	Program Participants shall support and promote efforts by consulting foresters, state, provincial and federal agencies, state or local groups, professional societies, conservation organizations, indigenous peoples and governments, community groups, sporting organizations, labor, universities, extension agencies, the American Tree Farm System® and/or other landowner cooperative programs to apply principles of sustainable forest management.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>17.1.1</i>	Support, including financial, for efforts of SFI Implementation Committees.	NB	14						
<i>Notes</i>	14 Confirmed by review of meeting minutes that Tommy or Ed attended all of the SIC meetings since the 2013 audit and that Tommy is on the Implementation Assessment Subcommittee. 14 Confirmed by review of approved Assessment Invoice dated 12/23/13 that the 2013-2014 MS SIC dues were paid.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
<i>17.1.2</i>	Support for the development of educational materials for use with forest landowners (e.g. information packets, websites, newsletters, workshops, tours, etc.).	NB	14						
<i>Notes</i>	14 This requirement is satisfied by Masonite’s participation in, and support of, the MS SIC.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>

17.1.3	Support for the development of regional, state or provincial information materials that provide forest landowners with practical approaches for addressing special sites and biological diversity issues, such as invasive exotic plants and animals, specific wildlife habitat, Forests with Exceptional Conservation Value, and threatened and endangered species.	NB	14						
<i>Notes</i>	14 This requirement is satisfied by Masonite's participation in, and support of, the MS SIC.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.1.4	Participation in efforts to support or promote conservation of managed forests through voluntary market-based incentive programs such as current-use taxation programs, Forest Legacy Program or conservation easements.	NB	14						
<i>Notes</i>	14 MFA promotes the current use tax program. Confirmed the company paid 2014 dues by review of the receipt dated 1/14/2014.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.1.5	Program Participants are knowledgeable about credible regional conservation planning and priority-setting efforts that include a broad range of stakeholders and have a program to take into account the results of these efforts in planning.	NB	14						
<i>Notes</i>	14 Interview with Tommy Cotton indicates he is knowledgeable about: bird habitat initiatives from Partners in Flight and the USF&WS, The Alliance for 0 Extinctions which lists 2 sites in MS (dusky gopher frog and yellow blotched map turtle and the Southeastern Aquatic habitat Plan.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.2	Program Participants shall support and promote, at the state, provincial or other appropriate levels, mechanisms for public outreach, education and involvement related to sustainable forest management.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.2.1	Periodic educational opportunities promoting sustainable forestry, such as a. field tours, seminars, websites, webinars or workshops; b. educational trips; c. self-guided forest management trails; d. publication of articles, educational pamphlets or newsletters; or e. support for state, provincial, and local forestry organizations and soil and water conservation districts.	NB					14		
<i>Notes</i>	14 Confirmed by review of newspaper article and Arbor Days Schedule that Masonite employee Bill Hinton made Arbor Day presentations at several local schools the week of February 19, 2014. There is an opportunity to improve the process of providing periodic educational opportunities promoting sustainable forestry.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.3	Program Participants shall establish, at the state, provincial, or other appropriate levels, procedures to address concerns raised by loggers, consulting foresters, employees, <u>unions</u>, the public or other Program Participants regarding practices that appear inconsistent with the SFI Standard principles and objectives.	NB	14						
<i>Notes</i>	14 Masonite Corporation has a formal process for receiving and responding to all public inquiries, particularly those that potentially relate to practices that appear to be inconsistent with the SFI Principles and Objectives.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.3.1	Support for SFI Implementation Committees (e.g. toll free numbers and other efforts) to address concerns about apparent nonconforming practices.	NB	14						
<i>Notes</i>	14 This requirement is satisfied by Masonite's participation in, and support of the MS SIC.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
17.3.2	Process to receive and respond to public inquiries. SFI Implementation Committees shall submit data annually to SFI Inc. regarding concerns received and responses.	NB	14						

Notes	<p>14 The company has a formal process for receiving and responding to all public inquiries, particularly those that potentially relate to practices that appear to be inconsistent with the SFI Principles and Objectives. If a phone call or other public inquiry is received by Masonite Corporation, the message will be forwarded to the Procurement Manager. The Manager would record all relevant information including the name and contact number of the party making the inquiry. The Procurement Manager will also record the response given and any follow-up that may be necessary to resolve the issue. If necessary, the Procurement Manger will forward the concern to the State of Mississippi SIC Inconsistent Practices Committees for resolution.</p> <p>14 Confirmed by review of the Inconsistent Practices section of the MS SIC annual report to SFI Inc.</p>
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Objective 18. Public Land Management Responsibilities.

To promote and implement sustainable forest management on public lands.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
18.1	Program Participants with forest management responsibilities on public lands shall participate in the development of public land planning and management processes.	NA							
Notes	Masonite does not have Public Land responsibilities.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
18.1.1	Involvement in public land planning and management activities with appropriate governmental entities and the public.								
Notes									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
18.1.2	Appropriate contact with local stakeholders over forest management issues through state, provincial, federal or independent collaboration.								
Notes									

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
18.2	Program Participants with forest management responsibilities on public lands shall confer with affected indigenous peoples.	NA							
<i>Notes</i>	Masonite does not have Public Land responsibilities.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
18.2.1	Program that includes communicating with affected indigenous peoples to enable Program Participants to: <ul style="list-style-type: none"> a. understand and respect traditional forest-related knowledge; b. identify and protect spiritually, historically, or culturally important sites; and c. address the use of non-timber forest products of value to indigenous peoples in areas where Program Participants have management responsibilities on public lands. 								
<i>Notes</i>									

Objective 19. Communications and Public Reporting.

To broaden the practice of sustainable forestry by documenting progress and opportunities for improvement.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.1	A Certified Program Participant shall provide a summary audit report, prepared by the certification body, to SFI Inc. after the successful completion of a certification, recertification or surveillance audit to the SFI 2010-2014 Standard.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.1.1	The summary audit report submitted by the <i>Program Participant</i> (one copy must be in English), shall include, at a minimum, <ul style="list-style-type: none"> a. a description of the audit process, <i>objectives</i> and scope; b. a description of substitute <i>indicators</i>, if any, used in the audit and a rationale for each; c. the name of <i>Program Participant</i> that was audited, including its SFI representative; d. a general description of the <i>Program Participant's</i> forestland and manufacturing operations included in the audit; e. the name of the <i>certification body</i> and <i>lead auditor</i> (names of the <i>audit team</i> members, including <i>technical experts</i> may be included at the discretion of the <i>audit team</i> and <i>Program Participant</i>); f. the dates the certification was conducted and completed; g. a summary of the findings, including general descriptions of evidence of conformity and any nonconformities and corrective action plans to address them, opportunities for improvement, and exceptional practices; and h. the certification decision. 	NB	14						
Notes	14 NSF will provide the report. Confirmed the 2013 public summary report is on the SFI Inc. website								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.2	Program Participants shall report annually to SFI Inc. on their conformance with the SFI 2010-2014 Standard.	NB	14						
Notes	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.2.1	Prompt response to the SFI annual progress report.	NB	14						

<i>Notes</i>	14 Confirmed by review to SFI Inc. that report was submitted on March 10, 2014.								
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	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.2.2	Recordkeeping for all the categories of information needed for SFI annual progress reports.	NB	14						
<i>Notes</i>	14 Confirmed by review of 2013 report that recordkeeping is adequate.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
19.2.3	Maintenance of copies of past reports to document progress and improvements to demonstrate conformance to the SFI 2010-2014 Standard.	NB	14						
<i>Notes</i>	14 File contains reports back to 2007.								

Objective 20. Management Review and Continual Improvement.

To promote continual improvement in the practice of sustainable forestry, and to monitor, measure and report performance in achieving the commitment to sustainable forestry.

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
20.1	Program Participants shall establish a management review system to examine findings and progress in implementing the SFI Standard, to make appropriate improvements in programs, and to inform their employees of changes.	NB	14						
<i>Notes</i>	14 See evidence below.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
20.1.1	System to review commitments, programs and procedures to evaluate effectiveness. Note: For multi-site programs the auditing requirements of Section 9 or the ISO MD-1 requirements must be followed (see Multi-site Checklist); at a minimum internal audits or monitoring that spans all sites and addresses the relevant part of the SFI Standard is expected.	NB	14						
Notes	14 Masonite Corporation has a formal system for annually collecting information about its SFI implementation, evaluating the effectiveness of its SFI Programs, reporting information to management, determining whether any changes or improvements are necessary to continually improve SFIS conformance, and communicate those changes to responsible personnel.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
20.1.2	System for collecting, reviewing, and reporting information to management regarding progress in achieving SFI 2010-2014 Standard objectives and performance measures.	NB	14						
Notes	14 As outlined in the Program for evaluating the effectiveness of promoting reforestation and use of BMPs, the Procurement Foresters conduct monitoring using the Wood Producer Survey and the Monthly BMP Survey Reports. The Mississippi BMP Implementation Monitoring Report is also collected regarding the use of BMPs across the state and counties in which the company procures wood. The Procurement Forester consolidates that information and prepares an annual summary of patterns and trends. The Procurement Manager reviews the effectiveness of the SFI Promotion Program using the summary reports and determines whether any changes or improvements should be made.								

	2010-2014 Requirement	Audit -or	<u>C</u>	<u>EXR</u>	<u>Maj</u>	<u>Min</u>	<u>OFI</u>	<u>Likely Gap *</u>	<u>Likely Conf. *</u>
20.1.3	Annual review of progress by management and determination of changes and improvements necessary to continually improve conformance to the SFI 2010-2014 Standard.	NB		14					
Notes	14 Confirmed annual management review occurred March 14, 2014 and was attended by the Procurement Staff and Mill manager. Review of agenda and minutes indicates the following was discussed: SFI Policy, Procurement Program and SFI Evidence Manual, BMP Compliance Monitoring Information, SFI landowner packets distributed, % wood delivered by SFI trained loggers, MS SIC Implementation Committee Action items, feedback from Employees, Landowners and other interested parties. Goals were also set and include: for BMPs – reemphasizing timely road and skid trail stabilization, keeping tops out of streams and educating suppliers on the use pre harvest planning and the use of topo maps as guides for stream classification, 100% deliveries from SFI trained loggers and chipping crews. These activities constitute an exceptional practice.								

2014

The following Masonite employees were interviewed: Tommy Cotten and Ed Brashier.

The following wood supplier/loggers were interviewed: Mack McAlpin, Randy Broome and Larry Batte.

The following wood supplier tracts on which Masonite did a BMP inspection were inspected: Jones County and Cohay Creek Forestry Service.

The following active wood supplier tract was inspected: Broome & Sons Wood Chipping.

Multi-site Certification – Two Options

A multi-site organization is defined as an organization having an identified central function (hereafter referred to as a central office – but not necessarily the headquarters of the organization) at which certain activities are planned, controlled or managed and a network of local offices or branches (sites) at which such activities are fully or partially carried out.

Organization does **NOT** meet the definition above; the remaining questions do not apply and all remaining portions of the multi-site checklists may be deleted from the report.

Appendix IV



SFI Reporting Form
(not included – no change)